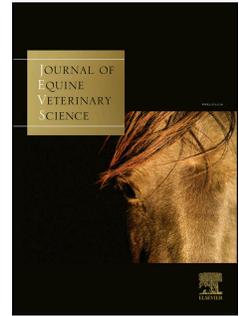


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**Conflict behaviour in show jumping horses: a field study**

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**ABSTRACT**

The study objective was to determine if there was a relationship between behavioural and physiological stress measures in sport horses and their performance. Nineteen horses competed in show jumping events (6 housed at the centre and 13 transported), while 5 horses at home training served as controls. The competition horses were

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22 assigned to “light” (obstacles  $\leq 100$ cm) and “difficult” class (obstacles  $> 100$ cm). The  
23 conflict behaviours (CB/min) in two rounds were calculated. Total faults were  
24 classified as “less faults” ( $\leq$ one fault) or “more faults” ( $>$ one fault). Salivary cortisol  
25 concentration (SCC) before the first round (SCC-SP1), 20 min (SCC-SP2) and 60  
26 min after the second round (SCC-SP3) was measured. The increase (SCC-in) and  
27 decrease (SCC-dec) in SCC was calculated. No effect of competition was found.  
28 Horses that waited longer for the second round had greater CB ( $P < .05$ ). CB were  
29 more frequent in horses from the “more faults” ( $P = .05$ ) and “difficult” (a tendency;  
30  $P = .06$ ) classes.  
31 No correlation of CB with SCC was found. SCC-SP2 was greater in “more faults” ( $P$   
32  $< .01$ ) and “transported” ( $P < .01$ ) horses. Competition increased the SCC ( $P < .05$ ),  
33 whereas SCC-SP2 was greater in less successful horses ( $P < .05$ ). Transported horses  
34 and horses with more faults had the greatest SCC-SP2 and SCC-dec ( $P < .05$ ).  
35 Our results suggest that horses which presented stress response were also less  
36 successful in competition. The adoption of effective methods to reduce transport and  
37 competition stress could enhance welfare and performance of sport horses during  
38 competition.

39  
40

41 **Keywords:** Horse, Conflict Behaviour, Equitation, Show Jumping, Salivary Cortisol  
42 Concentration, Welfare

43

## 44 **1. Introduction**

45

46 Presently, horses are routinely used in recreational and sports activities by horse  
47 enthusiasts [1, 2]. During sport rivalry among humans, the horse is expected to

48 achieve a high standard of performance. This might affect the stress response of the  
49 horse and thus impact sport results [3]. When provided with the opportunity, it is  
50 evident that horses prefer to avoid any effort related to show jumping [4] or dressage  
51 [5]. It has recently been shown that, even in top-level competitions horses can  
52 present behavioural displays of frustration [6]. These types of equine behavioural  
53 activities, defined as conflict behaviours denote some kind of discomfort, confusion,  
54 and resistance or hyperactivity to the riders' aids [7, 8, 9]. Conflict behaviours during  
55 sporting events can involve holding the ears back, excessive tail swishing, pulling the  
56 reins out of the riders' hands, gaping, head shaking, running away (bolting), refusal  
57 (e.g. halting in front of an obstacle), backing or rearing [8] and are often interpreted  
58 as behavioural symptoms of stress [10, 11]. However, to be considered valid  
59 indicators of stress, confirmation with other measures is advisable [10, 12, 13]. In  
60 previous studies, participation of horses in equestrian competitions was found to  
61 cause a transient increase in cortisol release, a rise in heart rate and changes in many  
62 other physiological indicators [3, 14, 15, 16]. The evidence of a relationship between  
63 behaviour and physiology would confirm that conflict behaviours are valid  
64 behavioural markers of stress in the ridden horse. Saliva sampling is simple and non-  
65 invasive, and it is considered a useful method for monitoring cortisol response to  
66 stressful events in animals. It has been widely studied in equine welfare research [11,  
67 17, 18, 19, 20, 21]. Our objective was to investigate whether there was a relationship  
68 between conflict behaviours in horses participating in low-level competitions and  
69 salivary cortisol concentration.

70 Horses taking part in competitions are exposed to different stressors: difficulty  
71 of the round, transportation [17, 22, 23 24, 25], veterinary examinations and forced  
72 proximity to unknown conspecifics [8, 10]. Physical and psychological pressure

73 deriving from these stressors [22, 23, 26, 27] may have an impact on the performance  
74 and welfare of horse. Considering this, we assessed whether transportation before  
75 competitions together with difficulty of jumping course could affect the occurrence  
76 of conflict behaviours, cortisol concentration and the performance of horses. The  
77 official classification of difficulty of show jumping competition in Poland is  
78 expressed in height of the highest obstacle in the round from up to 90cm (class LL)  
79 to 155cm (class CCS), with five intermediate classes between LL and CCS classes  
80 changing by 10 cm in height.

81 Although stress response is adaptive, i.e. a “normal feature of life” and  
82 necessary for learning and for the development of effective behaviour [28], when not  
83 predictable and not controllable it may jeopardize the animal welfare [29]. A deeper  
84 scientific knowledge about a possible relation between occurrence of conflict  
85 behaviours in horses and changes in their physiological measures of stress, gained  
86 from real-life equestrian event, would prove useful in the validation of behavioural  
87 measures of competition stress and would contribute to the on-going debate on  
88 welfare of equine athletes.

## 89 90 **2. Material and methods**

91  
92 All procedures performed were in accordance with the ethical standards of the  
93 institution or practice where the studies were conducted and involved only non-  
94 invasive manipulations (saliva sampling) and observations of behaviour.

### 95 96 **2.1. Subjects**

97 Show jumping horses (warmblood breeds,  $11.3 \pm 3.9$  years old, N=19) were observed  
98 during regional show jumping competitions in an equestrian centre. Six of the studied  
99 horses were housed at the centre (local horses) and 13 horses were transported ( $40.8 \pm$   
100  $42.5$  km; 35 – 135 km) from regional stables on the day before the competitions in  
101 trailers for two or four horses. Horses were fed and trained by their owners according  
102 to their standard protocols. All horses were clinically healthy, physically fit, in good  
103 condition, when examined at competition by veterinary control. Horse-rider pairs were  
104 assigned to a specific category (class) of difficulty as expressed in obstacle height  
105 (combining different track distances, obstacles height, numbers, and their  
106 combination) according to Polish Rules for national show-jumping competitions [30].  
107 The actual height of obstacles ranged from 50 to 120 cm, and, for the purpose of this  
108 study, two classes of difficulty were given: light (obstacles height less than or equal to  
109 100 cm) and difficult (obstacles height more than 100 cm). Each horse took part in two  
110 rounds and was categorised according to the maximum height of the obstacle (i.e.  
111 overall difficulty) in either of the two rounds. Nine horses were classified to “light  
112 class” (obstacles under or even 100 cm) and 10 to “difficult class” (obstacles above  
113 100 cm). The horses had to jump from 15 to 25 obstacles in two rounds and they had  
114 to cover 450-830m track distance at mean time of 2.21min (1.50 – 2.86).  
115 To control for the effect of competition on the considered variables, five control horses  
116 were assessed at home during jumping training on 14 obstacles of 90cm and 120cm  
117 over 513-668m during 1.36min (1.28 – 1.45) using the same protocol as in  
118 competition horses. The control horses were all warmbloods from the local centre  
119 (mean age 13.8 years; 9 – 21 years).

120

121 2.2. Behavioural sampling

122 Two rounds for each horse were video-recorded. Using an all-occurrence recording  
123 method [31], these recordings / videos were analysed to assess the occurrence of  
124 conflict behaviours by one observer trained to properly recognise all the considered  
125 behaviours. The occurrence of head shaking and tail swishing, bucking and bolting,  
126 were noted and totalled for both rounds (CB). CB was expressed as occurrence per  
127 minute (frequency) of the total time of two rounds.

128

### 129 2.3. Salivary cortisol concentration (SCC)

130 In the evaluation of the equine response to competition (start effect) and post-  
131 competition recovery rate, saliva was taken from horses at three sampling points  
132 (SP): SCC-SP1 (20 minutes before starting in first round), SCC-SP2 (20 minutes  
133 after second round ending, as respective to serum cortisol level during performing in  
134 the round) and SCC-SP3 (1 hour after second round ending). Since the cortisol level  
135 is characterised with diurnal decrease [32], the indirect measures (increase and  
136 decrease) of concentration were analysed because horses started successively at  
137 different time points. The increase of SCC after the competition (SCC-in) was  
138 calculated by subtracting pre-competition value from post-competition value (SCC-  
139 SP2 – SCC-SP1), while the decrease in SCC (SCC-dec) was calculated by  
140 subtracting SCC-SP3 from SCC-SP2. It was hypothesized that if the SCC-SP2 was  
141 greater than SCC-SP1 then participation in competition produced a cortisol response  
142 that exceeded both basal concentration and possible diurnal drop in cortisol  
143 concentration.

144 The samples were chilled and centrifuged for 10 minutes at 1000 g and frozen at -  
145 20°C until analysis. Salivary cortisol concentration was measured using the Cortisol  
146 Enzyme Immunoassay kit (Arbor Assay, Ann Arbor, MI, USA), according to the

147 manufacturer's instructions with a slight modification – time of plate incubation with  
148 TMB (3,3',5,5'-Tetramethylbenzidine) substrate was shortened to 25 minutes. The  
149 colorimetric reading was carried out using a spectrophotometer at 450 nm. A  
150 standard curve ranging from 3200 to 100 pg/ml was used to calculate cortisol  
151 concentration for each sample.

152

#### 153 2.4. Faults

154 Faults, i.e. refusals and knock-downs, of each horse were totalled for both rounds and  
155 the animals were classified in “less faults” class if they made less than or equal to 1  
156 (median value) fault, or as “more faults” if they exceeded this value (more than one  
157 fault).

158

#### 159 2.5. Statistical analysis

160 The data were tested for normality using a Kolmogorov-Smirnov test. Pearson  
161 correlations were used to test the relation between CB and cortisol concentrations.  
162 CB, SCC-SP1, SCC-SP2, SCC-SP3, SCC-in and SCC-dec in both control and  
163 competition horses, were analysed with the generalised linear model analysis of  
164 variance (GLM) to assess the effect of the competition, competition difficulty,  
165 transport and class of faults. Since the effect of the competition revealed non-  
166 significant for any of studied variables, it was withdrawn from the model and we  
167 focused only at competition horses. Considering the physiological diurnal drop of  
168 cortisol, the model was corrected by a regression on the timespan between SP1 and  
169 SP2 to take into account differences in start time between horses (mean: 267; 73 –  
170 447 minutes).

171 For transported horses the effect of number of horses in the trailer and the distance  
172 travelled (as a regression; mean: 65; 30 – 135 km) was also analysed. SAS 9.3  
173 statistical package was used for statistical analysis. The results from GLM analysis  
174 are presented as least square means  $\pm$  standard errors.

175

### 176 **3. Results**

177 The descriptive statistics of studied variables in competition horses are presented in  
178 Table 1. The horses showed different conflict behaviours, including head shaking,  
179 pulling the reins and tail swishing. Other conflict behaviours involved refusals (when  
180 the horse stopped in the front of the obstacle or turned to avoid clearing the obstacle),  
181 bucking and bolting (running away). Since refusals are assessed as faults by judges,  
182 their occurrence is reflected in faults number.

183 The longer the horse had to wait for the start in the second round the more conflict  
184 behaviours it presented ( $P < .05$ ). Also, higher frequency of CB was typical to horses  
185 that made higher number of faults (“less faults”: mean 2.27 ( $\pm$  0.76) CB/min vs  
186 “more faults”: 4.27  $\pm$  0.57 CB/min;  $P = .05$ ; Fig. 1) and in these that competed in  
187 more difficult rounds (“light” class: 1.23  $\pm$  1.07 faults/min vs. “difficult” class: 5.22  
188  $\pm$  1.09 faults/min; a tendency:  $P = .06$ ).

189 Salivary cortisol concentrations and their changes were affected by studied factors,  
190 although no correlation between CB and any of SCC measures was found.. Pre-  
191 competition concentration (SCC-SP1) tended ( $P = .06$ ) to be greater in transported  
192 horses (not transported: 558.1  $\pm$  214.7 pg/mL vs. transported: 1104.9  $\pm$  139.7  
193 pg/mL). SCC-SP2, reflecting serum concentration of cortisol during competition,  
194 was greater in horses that made more faults (“less faults”: 5827  $\pm$  118.5 pg/mL vs.  
195 “more faults”: 1057.5  $\pm$  88.7 pg/mL;  $P < .01$ ; Fig. 2) and transported ones (not

196 transported:  $591.2 \pm 113.1$  pg/mL vs. transported:  $1048.9 \pm 85.2$  pg/mL;  $P < .01$ ; Fig.  
197 2). No effect of any of studied factors on SCC-SP3 was found. The effect of the  
198 distance travelled or of different number of horses in the trailer did not affect the  
199 behavioural and physiological responses of transported horses.  
200 SCC-in was highly variable (Table 1) but this was not due to different time spreads  
201 between sampling points ( $P > .05$ ). SCC-in was greater in horses that made more  
202 faults (“less faults”:  $-348.4 \pm 232.2$  pg/mL vs. “more faults”:  $325.5 \pm 173.9$  pg/mL;  $P$   
203  $< .05$ ). Transported horses and these that made more errors had the greater SCC-SP2  
204 values, and their decrease in SCC was greater than in other horses (not transported: -  
205  $61.7 \pm 135.5$  pg/mL vs. transported:  $409.5 \pm 88.2$  pg/mL;  $P < .05$  and “less faults”: -  
206  $22.4 \pm 122.7$  pg/mL vs. “more faults”:  $370.2 \pm 91.9$  pg/mL;  $P < .05$ ).

#### 208 4. Discussion

209  
210 Our results showed that horses participating in local sporting event exhibited conflict  
211 behaviours and showed increased salivary cortisol levels after competition, which is  
212 in agreement with previous research. Durations of low head and neck carriage  
213 during the riding test [18], durations of arousal behaviours when transiently deprived  
214 from food [33], frequency of head weaving and mouth conflict behaviour in forceful  
215 head bending during training [11, 20], frequency of conflict behaviours in  
216 complicated dressage movements [6] or trailer loading time in horses resistant to load  
217 [34] were observed to change significantly in these challenging situations. Similarly,  
218 the increase in salivary cortisol by about 3 ng/ml, [20] or 5 nmol/L [11] in response  
219 to low head and neck training, transportation (increase by 2 – 6 ng/L) [17],  
220 competition (increase by 30 nmol/L [19], 8 nmol/L [35], 5.5 ng/L [15] and 0,4

221 nmol/L [36]) was reported. Interestingly, while both behaviour and salivary cortisol  
222 concentration were considered to indicate a stress response, no direct relationship  
223 between behaviour and salivary cortisol concentration was found in the present  
224 study. Similar results were reported in animal studies aimed at validating behavioural  
225 welfare indicators [26, 37, 38, 39]. However, other studies described a relationship  
226 between certain behaviours and cortisol concentrations in horses [10, 40, 41]. In a  
227 previous study [42], we reported a non-linear relationship between the concentration  
228 of faecal cortisol metabolites and stress related behaviour. Additionally, physical  
229 exertion also contributes to the cortisol surge [27, 43] making it difficult to  
230 distinguish between the effects of physical and psychological responses on the stress  
231 response.

232  
233 The present study confirmed that horses competing in more difficult rounds  
234 tended to display conflict behaviours more frequently and this might reflect greater  
235 psychological effort in these jumpers. Conflict behaviours such as head shaking,  
236 pulling the reins, tail swishing, refusals, bucking and bolting mainly derive from  
237 psychological frustration or pain, which may be provoked by the use of certain  
238 training methods [5, 20], inappropriate cueing by the horse rider [7, 8, 10, 20, 44] or  
239 training errors, such as an overhasty implementation of the horse for competitions,  
240 when it is not prepared for this [14, 43]. We have also shown that long intervals  
241 between successive starts when the horse had to wait for the final round was related  
242 to greater frequency of conflict behaviours. This may be explained by the deviation  
243 from daily routine: the competition situation differs from regular training at home,  
244 where the horse usually finishes its work within a predictive time and no further  
245 effort is demanded. During observed competitions the horses have to be ready to go

246 in the second round, in most of cases still being saddled. Thus the added effect of  
247 unpredictability of human demands may be stressful in itself [29]. Moreover, as  
248 reported by Fureix et al. [45], being saddled is normally experienced by working  
249 horses which in certain cases may not be a positive experience.

250  
251 In transported horses, transportation by itself and, the new environment that  
252 horses were housed in and new social challenges, resulted in greater cortisol  
253 concentrations. This is also in line with studies confirming the effect of animal  
254 transport on serum and salivary cortisol concentrations [17, 22]. Our results are in  
255 agreement with that of Medica et al. [25] who reported that transported horses had  
256 greater cortisol concentrations post-exercise than non-transported horses. It was also  
257 found that less experienced horses displayed greater cortisol concentrations at rest at  
258 the show compared to the home farm [26].

259 Although salivary cortisol concentrations were not directly related to the  
260 frequency of conflict behaviours, both parameters were greater in horses that made  
261 more faults. This is in contrast to Peeters et al. [36] who reported a positive  
262 relationship between increased cortisol concentrations in horses and better  
263 performance in competition. It can be hypothesised that less successful horses were  
264 not adequately physically or psychologically prepared for competitions or were more  
265 prone to stress due to individual temperament characteristics. A different  
266 predisposition to stress coping was proposed to be modulated by the individual  
267 temperament [40, 46, 47]. As indicated by Graf et al. [48] and Górecka-Bruzda et al.  
268 [49] individual differences in horses' reaction to challenging situations are crucial in  
269 horse-rider communication. When compared to Peeters et al. [36], the difference in  
270 cortisol concentration between less and more successful horses was about 200% in

271 our study, while in the cited study it corresponded to about 160%; thus, the observed  
272 tendency is consistent with the Yerkes-Dodson law stating that too much stress  
273 causes lower performance. The latter relationship was shown in riders in the same  
274 study [36]. It is also possible that less experienced or nervous riders were responsible  
275 for both the SCC increase in their horses and for the greater number of faults in our  
276 study, as shown by Keeling et al. [50] and Merckies et al. [51].

277  
278 Our results could be interpreted in the light of mental stress related to the competition  
279 effort and the transportation to the competition site, which, together with unknown  
280 social environments and facilities, may jointly contribute to increased stress in equine  
281 athletes.

282

## 283 **5. Conclusions**

284

285 Our results showed that horses with more faults in sporting events exhibited both  
286 higher frequency of conflict behaviour and higher salivary cortisol concentrations.  
287 Travel before competition affected the frequency of conflict behaviour and cortisol  
288 concentration in the horses. These findings suggest that horses that presented with a  
289 stress response were also less successful in competition. However, no significant  
290 relationship between these two measures was found.

291

292 Considering that high SCC during the show jumping was related to the number of  
293 faults and, probably, to an unfamiliar environment for the horses transferred from  
294 regional riding centres, the adoption of effective methods to reduce transport and

295 competition stress could enhance welfare and performance of sport horses under  
296 competition.

297

### 298 **Conflict of interest statement**

299 All authors declare no conflict of interests.

300

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307

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309

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- 450

Table 1

Descriptive statistics of studied variables in N=19 horses

Variable	Mean	s.d.	Median	[Q1; Q3]
CB (frequency/min)	5.58	3.03	5.42	[3.15; 7.51]
FAULTS (total number)	1.47	0.96	2	[1; 3]
SCC-SP1 (pg/ml)	897.1	495.3	723.4	[510.3; 1139.7]
SCC-SP2 (pg/ml)	930.3	381.9	869.7	[612.3; 1202.7]
SCC-SP3 (pg/ml)	651.8	248.62	572.1	[498.9; 810.0]
SCC-in	33.2	619.1	23.6	[-364.3; 479.2]
SCC-dec	278.4	370.1	240.0	[48.7; 549.9]

CB – total of conflict behaviors from two rounds, FAULTS – total number of faults from two rounds; SCC-SP1, SCC-SP2, SCC-SP3 – concentration of cortisol in saliva before, after 20min and 60min respectively; SCC-in – increase in SCC resulting from competition; SCC-dec – decrease in SCC after competition

**Figure captions**

Fig. 1 Frequency of conflict behaviours during the event (CB, totaled for two rounds) in horses differing in number of errors during two rounds (least square means  $\pm$  standard errors).

Footnote:

a, b – frequencies differ at  $P < 0.05$

Fig. 2. Event salivary cortisol concentration (SCC-SP2; pg/mL) in horses differing in number of faults during two rounds and transportation (least square means  $\pm$  standard errors).

Footnote:

A, B – concentrations differ at  $P < 0.01$

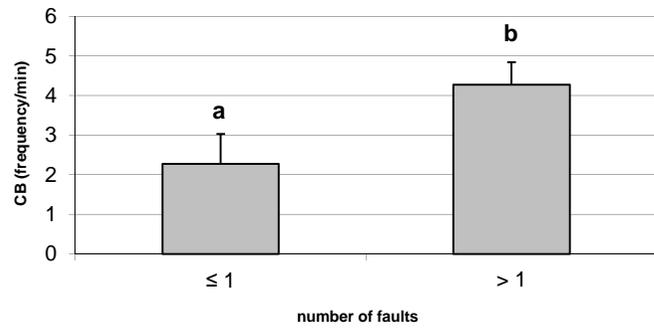


Fig. 1

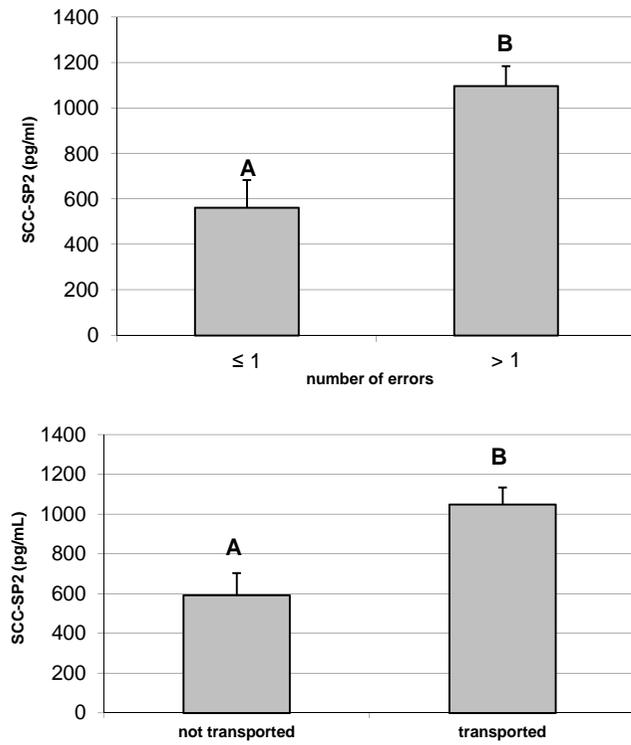


Fig. 2

## Highlights:

- Equine behavioural and physiological responses to show-jumping were studied
- Horses with a greater number of faults showed a greater frequency of conflict behaviour
- Horses with a greater number of faults had a greater salivary cortisol concentration
- Transportation prior to competition increases salivary cortisol concentration