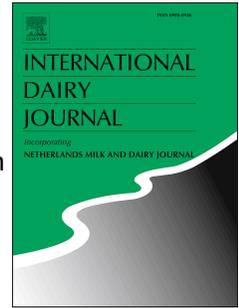


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Bovine milk oligosaccharides as anti-adhesives against the respiratory tract pathogen *Streptococcus pneumoniae*

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2 *Streptococcus pneumoniae*

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ABSTRACT

*Streptococcus pneumoniae* is a Gram-positive pathogen, which is regularly found in the upper respiratory tract of healthy individuals. Increased numbers of *S. pneumoniae* have been observed colonising the upper respiratory tract of children affected by respiratory tract infections. Gal $\beta$ 1-4GlcNAc $\beta$ 1-3Gal has been previously identified as one of the receptors involved in the adherence and translocation of *S. pneumoniae*. As this structure is similar to the milk oligosaccharide lacto-N-neoTetraose, many studies have investigated if free milk oligosaccharides can inhibit the adhesion of *S. pneumoniae* to epithelial cells of the respiratory tract. Here, we demonstrate that bovine oligosaccharides, which were extracted from demineralised whey, using a combination of membrane filtration and chromatography, were capable of reducing *S. pneumoniae* adhesion to pharynx and lung cells in vitro when tested at physiological concentrations. This study strengthens the potential use of bovine derived milk oligosaccharides as functional ingredients to reduce the incidence of infectious diseases.

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## 51 1. Introduction

52

53 Respiratory tract infections (RTI) account for almost half of all general practitioner  
54 and hospital visits by infants and young children (Bachrach, Schwarz, & Bachrach, 2003).  
55 The most common infections include acute otitis media, sinusitis and bronchitis. In general,  
56 RTI are caused by either viral or bacterial pathogens and very often as a combination of both.  
57 Bacteria frequently associated with respiratory tract infections include *Streptococcus*  
58 *pneumoniae*, *Haemophilus influenzae*, *Moraxella catarrhalis*, and *Staphylococcus aureus*  
59 (Bosch, Biesbroek, Trzcinski, Sanders, & Bogaert, 2013; Pettigrew, Gent, Revai, Patel, &  
60 Chonmaitree, 2008).

61 *Streptococcus pneumoniae* is a Gram positive pathogen, which regularly colonises the  
62 upper respiratory tract (URT) of healthy individuals. Carriage of *S. pneumoniae* is typically  
63 asymptomatic in nature (Bogaert, de Groot, & Hermans, 2004). However, if this bacterium  
64 gains access to the sterile parts of the respiratory tract, the result is a swift inflammatory  
65 response, which in turn causes disease. Elevated *S. pneumoniae* colonisation has been  
66 recorded in the URT of children suffering from RTI (García-Rodríguez & Martínez, 2002).  
67 Furthermore, the colonisation of the respiratory tract by *S. pneumoniae* reduces the microbial  
68 diversification of the host; this, in turn, has been linked to an increased risk of respiratory  
69 infections. Infections of the lower respiratory tract of infants and young children is also a  
70 matter of great importance, as pneumonia is one of the leading causes of global infant  
71 mortality. In fact, greater than 30% of pneumonia related deaths are caused by *S. pneumoniae*  
72 (Rudan, Boschi-Pinto, Biloglav, Mulholland, & Campbell, 2008).

73 To cause infection, *S. pneumoniae* must first adhere to human nasopharyngeal  
74 epithelial cells. One of the receptors responsible for the attachment of *S. pneumoniae* to  
75 human nasopharyngeal epithelial cells is GlcNAc $\beta$ 1-3Gal (Andersson & Svanborg-Eden,

76 1989). This receptor shares similarity with the oligosaccharide lacto-N-neoTetraose, Gal $\beta$ 1-  
77 4GlcNAc $\beta$ 1-3Gal $\beta$ 1-4Glc (LNnT) (Idänpään-Heikkilä et al., 1997). In this respect, several  
78 studies have demonstrated that synthesised oligosaccharides (OS) inhibit the adhesion of *S.*  
79 *pneumoniae* to epithelial cells of the respiratory tract. For instance, the pre-exposure of *S.*  
80 *pneumoniae* to LNnT and its  $\alpha$ 2-6-sialylated derivative reduced the pneumococcal load in the  
81 lungs of animal models (Idänpään-Heikkilä et al., 1997). Furthermore, LNnT was reported to  
82 inhibit the adherence of *S. pneumoniae* to the receptor Gal $\beta$ 1-4GlcNAc $\beta$ 1-3Gal (Tong,  
83 McIver, Fisher, & DeMaria, 1999). LNnT also provided a protective effect against *S.*  
84 *pneumoniae* by preventing pneumonia in rabbits (Idänpään-Heikkilä et al., 1997). Sialylated  
85 oligosaccharide ligands terminating in NeuAc $\alpha$ 2-3(or 6)Gal $\beta$ 1 were demonstrated to reduce  
86 the adhesion of *S. pneumoniae* to human bronchial and tracheal cells (Barthelson, Mobasseri,  
87 Zopf, & Simon, 1998). These studies strongly suggest that free OS such as LNnT, 3'SLNnT,  
88 6'SLNnT, can prevent the adhesion of *S. pneumoniae* to human epithelial cells. These OS  
89 and several other complex OS are naturally found in breast milk (Kunz, Rudloff, Baier, Klein  
90 & Strobel, 2000). In fact, it is known that infants those who are exclusively breastfed have a  
91 lower incidence of RTI (Wright, Holberg, Martinez, Morgan, & Taussig, 1989).

92         However, the protective effects ascribed to human milk oligosaccharides (HMO) are  
93 not available to formula-fed infants. Infant milk formulas are based on bovine milk, which  
94 contains a lower concentration of bovine milk oligosaccharides (BMO;  $\sim 0.03 \text{ g L}^{-1}$ )  
95 compared to OS in human milk (10–15  $\text{g L}^{-1}$ ; Kunz et al., 2000). A number of BMO do,  
96 however, share the same structure as certain HMO, which could imply common  
97 functionalities (Barile et al., 2009; Mariño et al., 2011). Therefore, value may lie in extracting  
98 and concentrating BMO with a view to their addition as an active ingredient to infant  
99 formulas. In a recent pilot study (Mehra et al., 2014), a powder enriched in BMO was  
100 produced through a membrane filtration process using mother liquor as a starting material. In

101 the current study, this method was used to generate an enriched-BMO powder from  
102 demineralised whey powder, which is an important ingredient in infant formula manufacture.  
103 The powder was further depleted in lactose through size-exclusion chromatography. The final  
104 powder, which was enriched in BMO, was examined for its ability to prevent adhesion of *S.*  
105 *pneumoniae* to respiratory cells using in-vitro assays.

106

## 107 **2. Materials and methods**

108

### 109 *2.1. Materials*

110

111 Tissue culture reagents were purchased from Sigma–Aldrich (Wicklow, Ireland) and  
112 LGC (Middlesex, United Kingdom). The oligosaccharides 3'-sialyllactose and 6'-sialyllactose  
113 (3-SL and 6-SL, respectively) were purchased from Carbosynth, Compton, UK. The purity of  
114 both 3-SL and 6-SL is a minimum 98% according to the company's specification.

115

### 116 *2.2. Enrichment of oligosaccharides*

117

118 For enrichment of OS, demineralised whey powder, purchased from Dairygold Co-  
119 Operative Society Ltd (Mitchelstown, Ireland), was used in a joint project between Teagasc  
120 and University of California, Davis to enrich OS, according to Mehra et al. (2014). Starting  
121 with demineralised whey powder, which was re-suspended in water to give a final volume of  
122 2428 L at 5% total solids, the process yielded 2.5 kg of milk oligosaccharide-rich powder  
123 (OSP), which was transferred to the Food for Health Ireland consortium and used in the  
124 present study, with the agreement of University California, Davis.

125

126 For testing OS in biological assays, OSP was further treated to remove residual  
peptides and large levels of lactose. 50 mL of a 20% solution of the OSP was applied to a

127 BioGelP2 size exclusion column (Bio-rad Laboratories, Inc., USA; 92 × 5 cm) and eluted  
128 with deionised water at a flow rate of 3 mL min<sup>-1</sup>. The fractions (14 mL) were analysed for  
129 lactose, 3-SL and 6-SL using high pH anion exchange chromatography with pulsed  
130 amperometric detection (HPAEC-PAD) and peptide concentration (Bradford, 1976). Peptide-  
131 free and low-trace lactose fractions (< 80 mg L<sup>-1</sup>) from 15 runs were pooled and freeze-dried  
132 to give an oligosaccharide-rich fraction (OSF).

133

### 134 2.3. *Quantification of lactose and sialyllactose by high performance liquid* 135 *chromatography*

136

137 Demineralised whey powder, OSP, OSF and fractions from BioGelP2 were  
138 appropriately diluted in water and analysed for quantification of lactose, 3-SL and 6-SL.  
139 Lactose in demineralised whey powder, OSP and OSF was quantified by high performance  
140 liquid chromatography (HPLC) using an HPX-87C carbohydrate column (300 × 7.8 mm)  
141 (Aminex, Bio-Rad, UK) and a refractive index detector. The elution was obtained in isocratic  
142 conditions using 4.5 mM sulphuric acid for 30 min. 3-SL and 6-SL in all samples above and  
143 lactose in fractions from BioGelP2 were quantified by HPAEC-PAD, according to Mehra et  
144 al. (2014).

145

### 146 2.4. *Structural characterisation of milk oligosaccharides*

147

148 The free OS in the OSF were structurally characterised by hydrophobic interaction  
149 liquid chromatography (HILIC) coupled to mass spectrometry by the National Institute for  
150 Bioprocessing Research & Training (NIBRT, Dublin, Ireland) as described by Mariño et al.  
151 (2011).

152

153 2.5. *Organisms and growth conditions*

154

155 The *S. pneumoniae* strain ATCC BAA-255 (*S. pneumoniae* R6) was obtained from  
156 the American Type Culture Collection. *S. pneumoniae* R6 was stored in Todd Hewitt broth  
157 (Becton Dickinson and Company, France) containing 10% (v/v) glycerol at  $-80\text{ }^{\circ}\text{C}$  and  
158 cultured directly from storage into the same broth with 0.5% (w/v) yeast extract (0.2%  
159 inoculum) at  $37\text{ }^{\circ}\text{C}$  with 5%  $\text{CO}_2$  until an optical density (600 nm) of 0.8 was reached.

160

161 2.6. *Culture of pneumocytes*

162

163 Adherent Detroit 562 (pharynx) and A549 (lung) cells were purchased from the  
164 American Type Culture Collection. These cell lines were chosen because of their routine use  
165 in previous studies (Jensch et al., 2010; Kallio et al., 2014). The Detroit 562 cells were grown  
166 in Eagle's Minimum Essential Medium (EMEM) supplemented with 10% (v/v) foetal bovine  
167 serum (FBS) and 1% (w/v) of penicillin-streptomycin. The A549 cells were grown in  
168 Dulbecco's modified Eagle's medium (DMEM) supplemented with 10% (v/v) of FBS and  
169 1% (w/v) of penicillin-streptomycin. Cells were incubated at  $37\text{ }^{\circ}\text{C}$  in an atmosphere of 5%  
170  $\text{CO}_2$  and passaged every 3–4 days at ratio 1:6. When the cells were used in the adhesion  
171 assay, they were washed twice with PBS and then incubated with 0.25% trypsin/EDTA  
172 solution. Trypsination was stopped by adding 8–10 mL of fresh antibiotic-free medium. After  
173 seeding cells in 12-well plates to a density of  $5 \times 10^5$  per well, they were incubated overnight,  
174 and then the spent medium was replaced with 1 mL of DMEM or EMEM supplemented with  
175 2% (v/v) FBS. After a further overnight incubation as described above, the cells were used in  
176 the adhesion assay.

177

178 2.7. *Adhesion assay*

179

180 The adhesion assay used in the present study was adapted from previous publications  
181 (Marotta, Ryan, & Hickey, 2014). Prior to the adhesion assay, confluent monolayers were  
182 treated with 5  $\mu\text{g mL}^{-1}$  interleukin 1 $\beta$  for 4 h at 37 °C with 5% CO<sub>2</sub> to mimic host cell  
183 response during infection (Rosenow et al., 1997). Briefly, 6 mg mL<sup>-1</sup> solutions of  
184 demineralised whey powder and OSP were prepared in EMEM supplemented with 2% (v/v)  
185 FBS. Solutions of OSF were prepared at the following concentrations: 6 mg mL<sup>-1</sup>, 4 mg mL<sup>-1</sup>,  
186 2.4 mg mL<sup>-1</sup>, 2 mg mL<sup>-1</sup>, 1.15 mg mL<sup>-1</sup> and 0.24 mg mL<sup>-1</sup> (corresponding to 0.3, 0.2, 0.125,  
187 0.1, 0.0575 and 0.0125 mg mL<sup>-1</sup> of 6-SL in OSF, respectively) in the appropriate medium  
188 supplemented with 2% FBS (v/v). All solutions were sterilised by filtration. Bacteria were  
189 harvested and re-suspended in tissue culture media with or without OS at 1 × 10<sup>6</sup> colony  
190 forming units (cfu) mL<sup>-1</sup> and incubated for 30 min at 37 °C in an atmosphere of 5% CO<sub>2</sub> (pre-  
191 incubation step). Controls with no saccharide were also prepared. Confluent monolayers in  
192 12-well plates were washed with PBS, infected with 1 mL of pre-incubated bacteria and  
193 incubated for 30 min at 37 °C with 5% CO<sub>2</sub>. After 30 min incubation, the wells were washed  
194 three times with PBS to remove any non-adherent bacteria and lysed with 1 mL of PBS  
195 containing 0.2 % (v/v) Triton X-100 (Sigma, Steinheim, Germany) for 30 min at 37 °C on a  
196 shaking platform at 100 agitations per min to ensure maximal recovery of viable bacterial  
197 cells. The lysates were serially diluted and enumerated by spread-plating on sheep blood agar  
198 plates. Aliquots of the experimental inocula were retained, diluted and plated to determine  
199 original cfu mL<sup>-1</sup>. Agar plates were incubated at 37 °C with 5% CO<sub>2</sub> overnight after which  
200 cfu were enumerated.

201

202 2.7. *Bacterial interaction*

203

204 In an effort to determine if OS interact with bacteria, the adhesion assay was slightly  
205 modified. *S. pneumoniae* R6 was grown as described above, re-suspended ( $1 \times 10^6$  cfu mL<sup>-1</sup>)  
206 in an OSF solution at a concentration of 6 mg mL<sup>-1</sup> and 2.4 mg mL<sup>-1</sup> when working with  
207 Detroit 562 and A549 cells, respectively, in the appropriate medium supplemented with 2%  
208 FBS (v/v) and incubated for 30 min at 37 °C 5% CO<sub>2</sub>. The samples were centrifuged at 4000  
209 × *g* for 7 min to pellet the bacterial cells. The medium containing unbound oligosaccharides  
210 was removed and the bacterial pellet was re-suspended in an equal volume of appropriate  
211 medium. Following this the adhesion assay was performed as described above.

212

213 2.8. *Cell line interaction*

214

215 To determine if OS mixture interacts with epithelial cells, the adhesion assay was  
216 modified. Confluent monolayers of Detroit 562 and A549 cells were washed with PBS and  
217 supplemented with 1 mL of OSF solution at a concentration of 6 mg mL<sup>-1</sup> (Detroit 562) and  
218 2.4 mg mL<sup>-1</sup> (A549) in the appropriate medium supplemented with 2% FBS (v/v). Controls  
219 were performed in the absence of saccharides. The 12 well plates were incubated at 37 °C 5%  
220 CO<sub>2</sub> for 30 min. Following incubation, the 12 well plates were washed 5 times with PBS to  
221 remove the unbound OS. The confluent monolayers were then infected with 1 mL *S.*  
222 *pneumoniae* R6 ( $1 \times 10^6$  cfu mL<sup>-1</sup>) resuspended in the appropriate medium supplemented  
223 with 2% FBS (v/v) and incubated for 30 min. To determine the amount of adhering bacteria  
224 the adhesion assay was performed as described above.

225

226 2.9. *Statistical analysis*

227

228           The adhesion assays were carried out on three separate occasions in triplicate. Results  
229 are presented as mean  $\pm$  standard deviations of replicate experiments. Graphs were drawn  
230 using Microsoft Excel and the unpaired student t-test was used to determine statistically  
231 significant results;  $P < 0.05$  was considered significant.

232

### 233 **3. Results and discussion**

234

235           It is widely accepted that human milk protects and promotes infant health (Gartner et  
236 al., 2005). For instance, human milk plays a major role in protecting infants from respiratory  
237 infections (Duijts, Ramadhani, & Moll, 2009; Wright et al., 1989). Recently, in addition to  
238 IgA, free HMO have been implicated in this protective role, which may be exerted through  
239 direct and/or indirect effects (Stepans et al., 2006). As direct effect, HMO may interfere with  
240 adhesion, by acting as decoys to which pathogens can bind. In the URT, the frequent bathing  
241 in milk might modulate the adherence of bacteria to epithelial cells through the high  
242 concentration of OS present, thereby reducing the incidence of harmful organisms and  
243 lowering the risk of infection (Barthelson et al., 1998). In the lower respiratory tract, OS may  
244 reach the respiratory epithelia through absorption into the blood stream, where they could  
245 influence bacterial-host interactions in a similar manner as observed in the gut. In this  
246 respect, Goehring, Kennedy, Prieto, and Buck (2014) have demonstrated that some ingested  
247 HMO are absorbed intact into the infant circulation. In terms of indirect effects, specific  
248 HMO may have effect on the immune system, as demonstrated by numerous in vitro studies  
249 (Bode et al., 2004a; Bode, Rudloff, Kunz, Strobel, & Klein, 2004b; Eiwegger et al., 2010).

250           As *S. pneumoniae* is one of the major bacterial etiological agents of respiratory tract  
251 infections in infants and children, we focused our attention on investigating the effect of a

252 pool of oligosaccharides on adhesion of *S. pneumoniae* on respiratory cells of both the upper  
253 and lower respiratory tract. As human milk is not available for commercial purposes, bovine  
254 milk streams were considered as a suitable source of OS, given their widespread availability.

255

### 256 3.1. *Enrichment of oligosaccharides*

257

258 As previously mentioned, concentrations of OS in bovine milk and its streams are  
259 much lower than concentrations of OS found in human milk. For this reason, before testing  
260 the biological properties of BMO, they were extracted and concentrated from demineralised  
261 whey. Demineralised whey was selected as starting material for OS enrichment, because it  
262 contains a higher concentration of sialyllactose (SL, 3'- and 6'- sialyllactose) ( $47 \text{ mg L}^{-1}$ ) for  
263 similar lactose concentration ( $48 \text{ g L}^{-1}$ ) compared with bovine milk. Furthermore,  
264 demineralised whey is characterized by lower mineral levels, which may be advantageous for  
265 applications in infant formula manufacture, when compared to other bovine streams with  
266 similar SL and lactose concentrations (such as whey permeates). To evaluate enrichment of  
267 OS through the process, SL was selected as a marker of total OS, since it is the predominant  
268 oligosaccharide in the BMO pool and can be quantified by using routine analytical methods.

269 Following membrane filtration and diafiltration, the diafiltered OS-enriched retentate  
270 had a SL to lactose ratio of 1.65%. This represents a 17-fold enrichment of SL based on the  
271 SL/Lactose ratio. Upon evaporating and spray drying the retentate, 2.5 kg of a powder (OSP)  
272 was obtained with the following composition: 70.21% (w/w) lactose, 1.20% (w/w) SL, 24.5%  
273 (w/w) protein and 4.41 % (w/w) ash. Despite the enrichment of OS compared to the initial  
274 demineralized whey, the major component of the OSP was still lactose. As it has been  
275 previously demonstrated that lactose can interfere with the ability of oligosaccharides to  
276 influence bacterial adhesion (Kavanaugh et al., 2013), to further reduce lactose and

277 concentrate OS, the OSP was applied to a size-exclusion chromatography, resulting in 8.83 g  
278 of OSF.

279 The chromatographic step removed most of the lactose, while retaining approximately  
280 71% of SL (Table 1), resulting in a powder with lactose and SL concentration (ratio 3-SL:6-  
281 SL was 3.5:1) of 0.9% and 23% (w/w), respectively. Compared with concentrations found in  
282 whey (0.07%, w/w; Marotta et al. unpublished data), this represents an approximate 329 fold  
283 SL enrichment in the OSF. Recently, nanofiltration was investigated to enrich BMO from  
284 lactose-hydrolysed bovine milk (Altmann et al., 2015) and lactose-hydrolysed colostrum  
285 whey permeate (Cohen, Barile, Liu, & de Moura Bell, 2017). Altmann et al. (2015) produced  
286 a NF retentate containing 873.23 BMO mg L<sup>-1</sup>. However, the data reported did not allow  
287 calculation of the concentration of BMO as percentage of total solid. Cohen et al. (2017)  
288 produced a NF retentate containing 5.96 SL g L<sup>-1</sup>, which represented 6.7% of total solids.

289 Although the process employed in the present study did not hydrolyse lactose and did  
290 not employ NF, as in Altmann et al. (2015) and Cohen et al. (2017), the OSF was  
291 characterised by a much higher content of SL (23%, w/w). The oligosaccharide profile of the  
292 OSF was analysed by NIBRT. After fluorescently labelling with 2-Aminobenzamide (2AB),  
293 the sample was analysed by HILIC. A total of 29 peaks were detected and assigned  
294 comparing the Glucose Unit (GU) values obtained with GU values previously published  
295 (Mariño et al., 2011). Predominant peaks were 3-SL and 6-SL (taken together 55.2% of total  
296 peak area), GalNAc( $\alpha$ 1-3)Gal( $\beta$ 1-4)Glc (23.8% of total peak area) followed by Gal( $\alpha$ 1-  
297 3)Gal( $\beta$ 1-4)Glc (9.6% of total peak area), with latter two not being found in breast milk  
298 (Urashima, Messer & Oftedal, 2017), despite the fact that neutral OS represent the highest  
299 percentage of HMO (Kunz et al., 2000).

300 In addition, the sample was analysed by HILIC coupled to mass spectrometry for  
301 structural assignment. This allowed the identification of 19 structures, ranging between 300

302 and 1200 Da. Five out of 19 structures (3'-fucosyllactose, 3-SL, 6-SL, 6'-sialyllactosamine  
303 and LNnT, which account for a total peak area of 56.52%) are also found in breast milk  
304 (Table 2). Furthermore, using the same analytical technique as Mariño et al. (2011), 4  
305 structures were detected in the OSF, which were not reported in that study and these  
306 included: 3'-fucosyllactose, NeuAc( $\alpha$ 2-3)Gal( $\beta$ 1-3)Gal( $\beta$ 1-4)Glc and the *O*-acetylated forms  
307 of two sialylated oligosaccharides (NeuAc( $\alpha$ 2-3)Gal( $\beta$ 1-4)Glc and NeuAc( $\alpha$ 2-8)NeuAc( $\alpha$ 2-  
308 3)Gal( $\beta$ 1-4)Glc). Finally, only a small proportion (0.4% of total peak area) of Neu5Gc was  
309 detected in the OSF, which is particularly important for applications in infant formulas as  
310 Neu5Gc [present in numerous mammals, but not in humans (Varki & Marth, 1995)], is  
311 known to be antigenic (Varki & Schauer, 2009). To the best of our knowledge, this is the first  
312 time that such a well characterised SL-enriched powder, which also contains a variety of  
313 other OS structures, has been produced from commercial dairy streams.

314

### 315 3.2. *Effect of BMO on interaction between respiratory cells and S. pneumoniae*

316

317 To determine the concentration of the OSF that should be tested in the in vitro assays,  
318 a number of options were considered such as adding an amount of powder equivalent to the  
319 concentration of HMO (10–15 g L<sup>-1</sup>). However, as the OSF is not a pure mixture of BMO, a  
320 concentration of BMO corresponding to physiological concentrations of 6-SL, which is a  
321 predominant acidic oligosaccharide in breast milk was selected. Furthermore, previous  
322 studies demonstrated the importance of the  $\alpha$ 2-6 linkage on interactions with bacteria  
323 (Kavanaugh et al., 2013; Marotta et al., 2014). Marotta et al. (2014) found that 6-SL inhibits  
324 *Pseudomonas aeruginosa* PAK invasion of pneumocytes. Kavanaugh et al. (2013)  
325 demonstrated that 6-SL increased adhesion of *Bifidobacterium longum* subsp. *infantis* ATCC  
326 15697 to HT-29. Consequently, 6-SL was chosen as an indicator of OS concentration and the

327 concentration of 6-SL in the OSF was matched to levels of 6-SL found in breast milk (0.1 to  
328 0.3 mg mL<sup>-1</sup>; Kunz et al., 2000) when tested on pharynx cells. In fact, this is the potential  
329 concentration that an infant's URT would be exposed to during regular breast feeding.

330 The OSF significantly reduced the adhesion of *S. pneumoniae* R6 to the pharynx cells  
331 Detroit 562 by 78%, 51% and 25% at OSF concentrations of 6 mg mL<sup>-1</sup> (P<0.001), 4 mg mL<sup>-1</sup>  
332 (P<0.001) and 2 mg mL<sup>-1</sup> (P<0.001), respectively (Fig. 1). The data demonstrated that the  
333 anti-adhesion effect was concentration dependent with the largest anti-adhesive effect seen at  
334 the highest physiological concentration tested, 0.3 mg mL<sup>-1</sup> (Fig. 1). This suggests that the  
335 application of such an OSF should involve the exposure of infants' URT to reported  
336 physiological range of HMO. In addition the effect of long term exposure to an OSF should  
337 also be considered.

338 The experiment was repeated testing 6 mg mL<sup>-1</sup> solutions of demineralised whey and  
339 OSP, which are the initial and intermediate material prior to OSF production. Solubility  
340 issues meant that higher concentrations could not be tested. In both cases, a minimal (~5%)  
341 and not significant reduction of adhesion was observed (Fig. 2). These results suggest that the  
342 higher levels of OS present in the OSF were responsible for the observed effect. In fact,  
343 demineralised whey, OSP and OSF were tested using the same concentration (6 mg mL<sup>-1</sup>) of  
344 powder, whereas SL concentration increased from 0.003 mg mL<sup>-1</sup> in demineralised whey to  
345 0.072 mg mL<sup>-1</sup> in the OSP to a final 0.3 mg mL<sup>-1</sup> in the OSF.

346 The effect of the OSF in reducing the adhesion of *S. pneumoniae* R6 to the lung cell  
347 line A549 was also investigated. In this case, concentrations of OSF in the range of 0.24 and  
348 2.4 mg mL<sup>-1</sup> were used, which correspond to 6-SL concentrations in the range of 0.0125–  
349 0.125 mg mL<sup>-1</sup>. These concentrations were employed for similar studies on *P. aeruginosa*  
350 (Marotta et al., 2014) and represent the lowest and highest estimated concentration of the  
351 acidic fraction of HMO in infant blood (Bode et al., 2004b), which may potentially reach the

352 lungs. The adhesion of *S. pneumoniae* R6 was significantly reduced by 55, 34 and 17%,  
353 following pre-incubation with the OSF at a concentration of 2.4 mg mL<sup>-1</sup> ( $P < 0.001$ ), 1.15  
354 mg mL<sup>-1</sup> ( $P < 0.001$ ) and 0.24 mg mL<sup>-1</sup> ( $P < 0.005$ ) (Fig. 3). As the powder was re-suspended  
355 in the required media and a control of media alone was included, the effect could be solely  
356 attributed to the OS and not to any component in the media.

357 The data reported above is in agreement with Barthelson et al. (1998). In that study,  
358 the authors concluded that *S. pneumoniae* relies to a significant extent upon sialylated  
359 oligosaccharide ligands terminating in NeuAc $\alpha$ 2-6(or 3)Gal $\beta$ 1 for adherence to epithelial  
360 cells. The predominance of  $\alpha$ 2-6 and  $\alpha$ 2-3 sialylated oligosaccharides in the OSF, which  
361 could act as decoys of the natural receptors of *S. pneumoniae*, could explain the ability of the  
362 OSF in reducing *S. pneumoniae* adhesion to respiratory epithelial cells.

363 As the OSF significantly reduced the adhesion of *S. pneumoniae* R6 to the pharynx  
364 and lung cells, further studies were carried out to determine if that observed effect was due to  
365 the interaction of the OS with the bacteria or epithelial cells. To determine if OS interacted  
366 with bacteria, the assay was carried out as described, with the removal of unbound OS prior  
367 infection of respiratory epithelial cells. Following the removal of free OS, the adhesion of *S.*  
368 *pneumoniae* R6 to pharynx and lung cells was still significantly ( $P < 0.001$ ) reduced by 77%  
369 and 48%, respectively (Fig. 4). To determine if the OS interacted with the respiratory  
370 epithelial cells, OSF was first incubated with the pharynx and lung cells. Following 30 min  
371 incubation, OS were removed and respiratory epithelial cells were infected with *S.*  
372 *pneumoniae* R6. No anti-adhesive effect was observed following this modification to the  
373 adhesion assay (Fig. 4).

374 The results would indicate that the ability of OS to reduce the adhesion of *S.*  
375 *pneumoniae* R6 to epithelial cells of the respiratory tract was mediated by interaction of OS  
376 with the bacteria and not with the epithelial cells, in agreement with results observed by

377 Marotta et al. (2014). Furthermore, the results demonstrate that the OSF was not cytotoxic to  
378 respiratory epithelial cells, since the adhesion of bacteria to respiratory epithelial cells alone  
379 and OSF exposed cells was comparable. Furthermore, the viability of the lung cells making  
380 up the confluent monolayer was determined with and without OSF before commencing the  
381 adhesion assays to ensure that OSF was not toxic to the A549 cells. The viability was  
382 approximately 90% ( $P = 0.27$ ), demonstrating that the growth of the A549 cells was not  
383 affected by the exposure to OSF.

384         Taken together, the in vitro results reported in the present study suggest that BMO  
385 could be effective in protecting infants from upper and lower respiratory infections associated  
386 to *S. pneumoniae*. The precise mechanism of how *S. pneumoniae* establishes and maintains  
387 colonisation has yet to be fully characterised. It is clear, however, that the bacterium's  
388 glycosidases play a key role in colonisation, as these enzymes are capable of modifying *N*-  
389 linked glycans, *O*-linked glycans, and glycosaminoglycans on the host epithelial surface,  
390 thereby rendering the host susceptible to colonisation (Bogaert et al., 2004; Tong, Blue,  
391 James, & DeMaria, 2000). For instance, NanA cleaves  $\alpha$ 2-3- and  $\alpha$ 2-6-linked sialic acid,  
392 while NanB is specific to  $\alpha$ 2-3-linked sialic acid (Gut, King, & Walsh, 2008). Furthermore,  
393 BgaA the  $\beta$ -galactosidase is specific to galactose  $\beta$ 1-4 linked to *N*-acetylglucosamine (Gal $\beta$ 1-  
394 4GlcNAc), commonly found in complex *N*-linked glycan structures (King, Hippe, & Weiser,  
395 2006; Zähler & Hakenbeck, 2000; Zeleny, Altmann, & Praznik, 1997). It is the modification  
396 of the host epithelial surface by these glycosidases that is the first step in bacterial  
397 colonisation. As the OSF generated in this study is particularly rich in these structures, it is  
398 possible that the anti-adhesive function is due to a decoy effect as has been previously  
399 suggested in the literature (Hickey, 2012; Morrow, Ruiz-Palacios, Jiang, & Newburg, 2005;  
400 Newburg, 2000).

401

## 402 5. Conclusions

403

404 This study reports the extraction of BMO in gram quantities from whey, employing a  
405 combination of membrane filtration and size-exclusion chromatography. The final product  
406 was characterised not only by the presence of predominant sialyllactose, but also by many  
407 other sialylated and neutral structures. This product was demonstrated to reduce adhesion of  
408 *S. pneumoniae* to pharynx and lungs cells, when it was tested at different physiological  
409 concentrations. This study further supports the potential production of value-added  
410 ingredients from whey streams, which could be used as functional ingredients in infant  
411 formulas and, more broadly, in foods with health benefits.

412

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414

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420

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1 **Figure legends**

2

3 **Fig. 1.** Effect of concentration of the oligosaccharide-enriched fraction (OSF) on *S.*  
4 *pneumoniae* R6 adhesion to Detroit 562 pharynx cells; control (CNT) was *S. pneumoniae* R6  
5 in the absence of saccharide. Data are means  $\pm$  standard deviation of assays carried out on  
6 three separate occasions in triplicate; an asterisk indicates  $P < 0.001$ .

7

8 **Fig. 2.** Effect of different substrates on interaction of *S. pneumoniae* R6 on pharynx cells.  
9 *S. pneumoniae* R6 was incubated with 6 mg mL<sup>-1</sup> of demineralised whey powder (DWP),  
10 oligosaccharide-enriched powder (OSP) and oligosaccharide-enriched fraction (OSF) in  
11 EMEM supplemented with 2% FBS (v/v); control (CNT) was performed with no saccharide.  
12 Data are means  $\pm$  standard deviation of assays carried out in triplicate; an asterisk indicates  $P$   
13  $< 0.001$ .

14

15 **Fig. 3.** Effect of concentration of the OSF on *S. pneumoniae* R6 adhesion to A549 lung cells;  
16 control (CNT) was *S. pneumoniae* R6 in the absence of saccharide. Data are means  $\pm$   
17 Standard deviation of assays carried out on three separate occasions in triplicate; an asterisk  
18 indicates  $P < 0.005$ .

19

20 **Fig. 4.** Interaction of oligosaccharide-enriched fraction (OSF) with *S. pneumoniae* R6 (■) or  
21 with eukaryotic cells (■). Left-hand set of data: *S. pneumoniae* R6 was incubated with 6 mg  
22 mL<sup>-1</sup> of OSF in EMEM supplemented with 2% FBS. After incubation the unbound  
23 oligosaccharides were removed before the bacteria were used to infect the pharynx cell (■).  
24 Pharynx cells were incubated with 6 mg mL<sup>-1</sup> of OSF in the medium above. The unbound  
25 OSF was removed from the pharynx cells, which were subsequently infected with *S.*

26 *pneumoniae* R6 (■). An asterisk indicates  $P < 0.001$ . Control (□, pharynx cells) was  
27 performed with no saccharide. Right-hand set of data: *S. pneumoniae* R6 was incubated with  
28  $2.4 \text{ mg mL}^{-1}$  of OSF in DMEM supplemented with 2% FBS. After incubation the unbound  
29 oligosaccharides were removed before the bacteria were used to infect the eukaryotic cells  
30 (■). Lung cells were incubated with  $2.4 \text{ mg mL}^{-1}$  OSF in the above medium. The unbound  
31 OSF was removed from the lung cells, which were subsequently infected with *S. pneumoniae*  
32 R6 (■). An asterisk indicates  $P < 0.001$ . Control (CNT, lung cells) was performed with no  
33 saccharide.

**Table 1**

Enrichment of oligosaccharides from OSP employing size exclusion chromatography. <sup>a</sup>

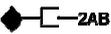
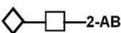
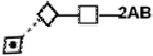
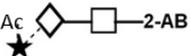
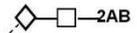
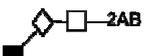
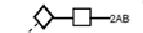
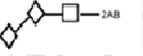
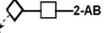
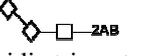
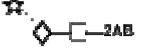
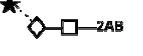
Oligosaccharide	OSP	OSF	Yield (%)
Lactose (g, total)	149.628	0.079	0.05
SL (g, total)	2.9175	2.084	71.43

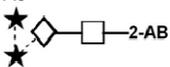
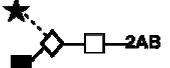
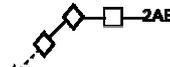
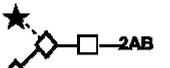
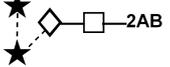
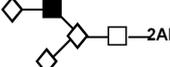
<sup>a</sup> Abbreviations are: OSP, oligosaccharides-rich powder; OSF, oligosaccharides-rich fraction.

Seven hundred and fifty millilitres of OSP were applied over 15 runs and 8.83 g of OSF were recovered pooling fractions from the 15 runs.

**Table 2**

Structural assignment of oligosaccharides in oligosaccharides-rich fraction (OSF).

Peak number	$m/z$ observed	$m/z$ theoretical	UXOF Symbol structural assignment	GU value	Relative % UPLC-HILIC-FLD
1	-	-	monosaccharides	1.00	-
2	-	-		1.03	-
3	502.22	502.20		1.88	0.7
4	461.21	461.18		1.95	2.5
5	-	-	neutral di- or tri-saccharides	2.28	-
6	-	-	acidic di- or tri-saccharides	2.33	0.1
7	-	-		2.36	0.02
8	607.27	607.24		2.49	0.7
	794.32	794.28	Ac 		
9	664.29	664.26		2.71	23.8
10	664.29	664.26		2.83	1.3
11	623.26	623.23		2.89	1.3
					
12	623.26	623.23		2.98	9.6
13	752.31	752.27	major component: 	3.15	47.8
	623.26	623.23	minor component: 		
14	-	-	acidic tri- or tetrasaccharides	3.30	0.2
15	-	-		3.34	0.1
16	-	-		3.38	0.1
17	793.35	793.30		3.48	0.7
18	768.30	768.27		3.50	0.4
19	752.31	752.27		3.56	7.4
20	826.36	826.31		3.63	0.6

21	1085.47	1085.38	Ac 	3.84	0.1
22	955.39	955.35		3.91	0.3
23	914.37	914.33		4.01	1.3
24	-	-	acidic oligosaccharide	4.11	0.03
25	914.37	914.33		4.33	0.2
26	1043.44	1043.37		4.58	0.4
27	1029.45	1029.39		4.69	-
28	988.43	988.36		4.84	0.1
29	-	-	acidic oligosaccharide	5.47	0.2

<sup>a</sup> Relative % UPLC-HILIC-FLD represents area of peaks compared with total peak area in HILIC chromatograms. Symbols are: ■, *N*-acetylglucosamine; □, glucose; ◇, galactose; ◆, *N*-acetylgalactosamine; ◇, fucose; ○, mannose; ★, *N*-acetylneuraminic acid; ☆, *N*-glycolylneuraminic acid; △, xylose. Linkages are denoted as: ---,  $\alpha$ -linkage; —,  $\beta$ -linkage.

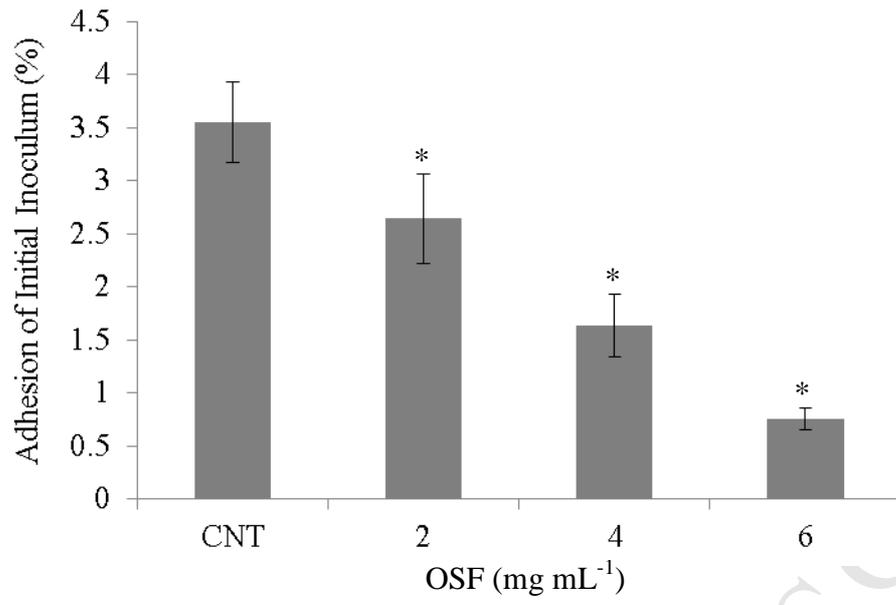


Figure 1.

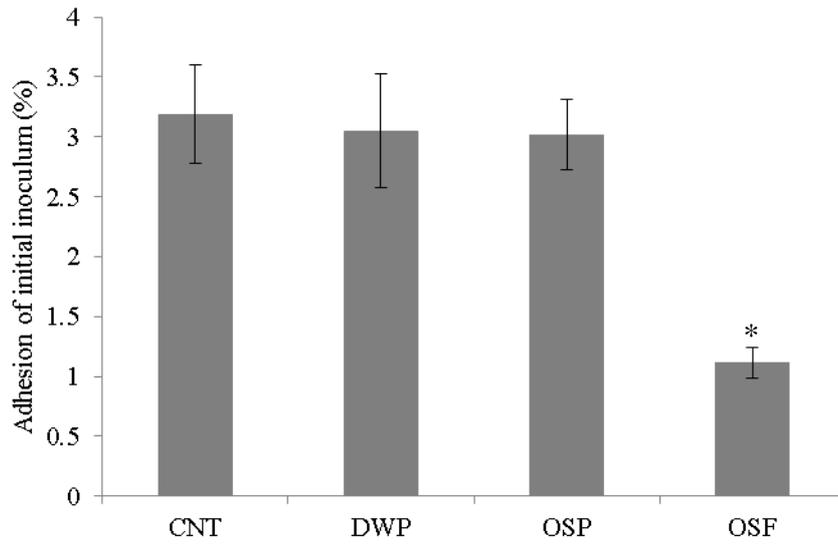


Figure 2.

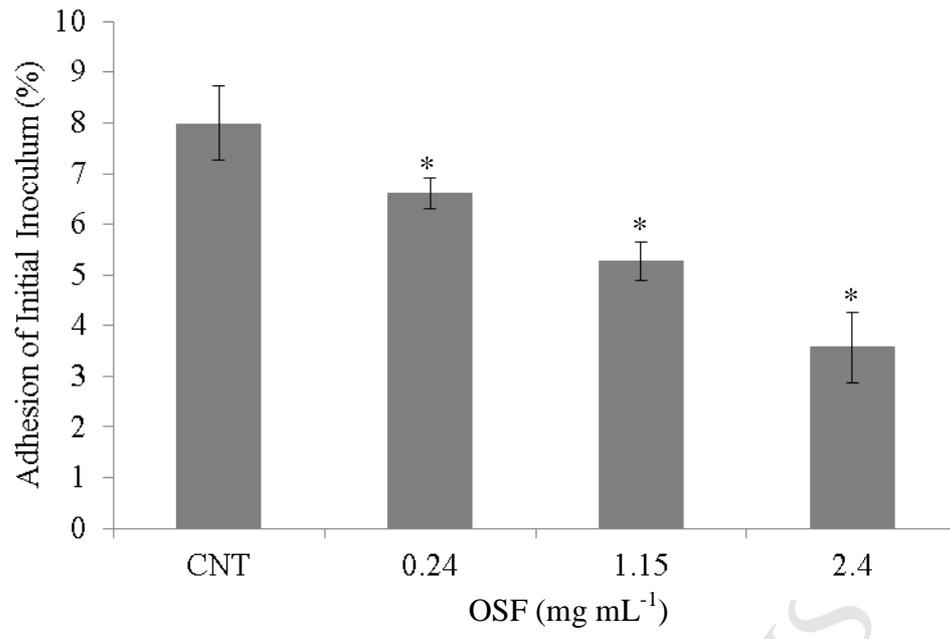


Figure 3.

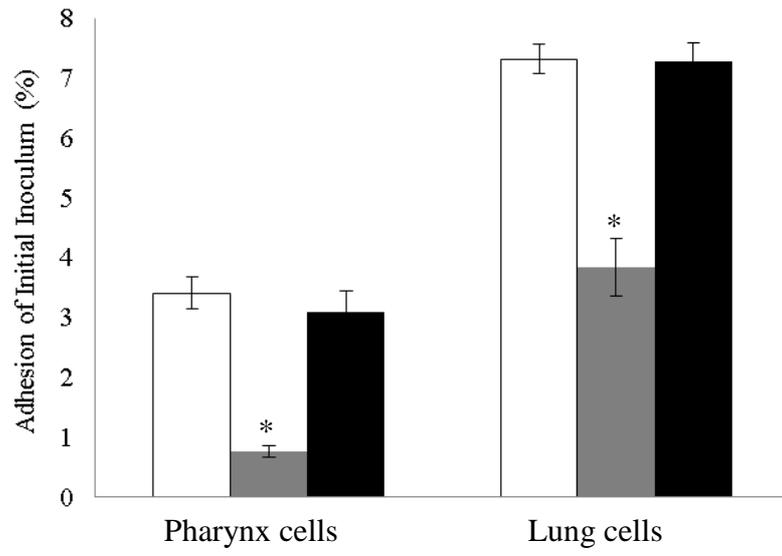


Figure 4.