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3 **Bioactivity of β -Lactoglobulin and α -Lactalbumin – Technological Implications for**
4 **Processing**

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16 • bioactivity

17 • bioactive peptides

18 • processing

19 **Abstract**

20 The dairy industry faces new technological challenges in order to exploit and maintain some of
21 the bioactive properties of dairy components throughout processing. This review outlines these
22 issues with respect to the two major whey proteins β -lactoglobulin (β -lg) and α -lactalbumin (α -
23 la). Biological activities of both the intact proteins, and peptides derived from the proteins, are
24 discussed e.g. inhibition of angiotensin-converting enzyme (ACE), anti-microbial activity, anti-
25 carcinogenic activity, hypocholesterolemic effect, metabolic and physiological effects. The
26 levels necessary to provide beneficial effects and, if available, evidence from clinical trials are
27 reported. Developments in the purification and enrichment of the proteins are discussed, and the
28 technological implications of industrial processing on the bio-activity of the proteins are
29 examined. The supplementation of infant formulas with α -lactalbumin enriched whey proteins is
30 also discussed in light of its potentially improved bioactive properties.

31

32 **Introduction**

33 Whey represents a rich and heterogeneous mixture of secreted proteins with wide ranging
34 nutritional, biological and food functional attributes. The main constituents are β -lactoglobulin
35 (β -lg) and α -lactalbumin (α -la), two small globular proteins that account for approximately
36 70% to 80% of total whey protein. Historically, whey has either been considered a waste
37 product and disposed of in the most cost-effective manner, or processed into relatively low-
38 value commodities such as whey powder and various grades of whey protein
39 concentrate/isolate (WPC, WPI). Isolation of whey proteins as spray-dried whey powder and,
40 in more limited quantities, as whey protein concentrate/isolate has realized only a small
41 portion of the commercial potential of these proteins. Indeed, whey protein concentrate, once
42 heralded as a value-added outlet for whey solids, is now considered a commodity item. In
43 addition, whey protein-based products have an unfortunate record of inconsistent and
44 unreliable performance in food systems. Thus, expanded utilization of whey proteins will rely
45 on exploitation of individual whey proteins and their derivatives as products with increased
46 nutritional, functional, and/or biological value and thus, increased commercial value to the
47 dairy industry. The emergence of new technologies and methods give a fresh insight into the
48 bioactivity of these proteins and produce new and sometimes surprising results. This review
49 examines the bioactive properties of β -lg and α -la and derived peptides thereof, as well as
50 laboratory- and industrial-scale methods for their enrichment and/or purification.

51

52 A) β -Lactoglobulin

53 **Background**

54 β -Lg is the dominant non-casein protein in bovine milk and is found in the milk of most
55 ruminants, but has generally been reported to be absent from human breast milk, although some
56 reports have suggested that minor amounts do occur in human milk (Hambraeus & Lonnerdal,
57 2003). β -Lg is a small, soluble and globular protein, with a monomer molecular weight of about

58 18 kDa at a pH of $< \sim 3$. At a pH of between 3 and 7, which includes the pH of Cheddar cheese
59 whey, β -lg exists in solution as a dimer (Creamer & Sawyer, 2003) with an effective molecular
60 weight of about 36 kDa. β -Lg is the major bovine whey protein and generally accounts for $\sim 50\%$
61 of the total whey protein in ruminants and $\sim 10\%$ of the total protein in bovine milk (Creamer et
62 al., 2003).

63

64 β -Lg has a variety of useful nutritional and food functional characteristics that have made this
65 protein and β -lg containing whey protein products, ingredients of choice in the formulation of
66 modern foods and beverages. However, it is the various bioactivities that are increasingly being
67 associated with β -lg and its peptide fragments that are capturing the imagination of food scientists
68 and technologists, particularly when linked with the other functionalities of the protein.
69 Exploitation of these functionalities will rely upon cost-effective processing and isolation
70 technologies that will deliver β -lg-enriched ingredients with maximum performance, both food
71 functional and bioactive, and substantiation of the putative bioactivities, particularly in real food
72 systems.

73

74 **Food functional characteristics**

75 β -Lg has excellent heat-set gelation characteristics (Holt, 2000). As such, ingredients enriched in
76 this protein find application in areas where water binding and texturisation are required.
77 Examples include manufactured meats and small goods, reformed fish products and a variety of
78 formulated foods. The nature of gels formed from β -lg can also be simply manipulated through
79 control of chemical conditions (e.g. pH and ionic strength) during gelation (Dufour, Robert,
80 Renard & Llamas, 1998). Thus, heat-set gels of β -lg can be formed that are translucent or opaque,
81 and elastic or inelastic. This 'flexibility' in gel formation by β -lg expands the range of
82 applications in which an ingredient enriched in this whey protein can be used.

83

84 β -Lg shows excellent whippability and thereby provides an alternative to egg albumin (egg
85 white) in some food applications. For example, β -lg shows a foam overrun capacity and heat
86 stability equivalent to egg white, even in the presence of sugar. Thus, an ingredient enriched in β -
87 lg should serve as a cost-effective substitute for egg white in meringues and similar products. The
88 foaming properties of whey and egg white proteins and their performance in food applications
89 has recently been reviewed (Foegeding, Luck & Davis, 2006).

90

91 β -Lg shows high solubility and clarity over a broad pH range, particularly at low pH (> 97%,
92 pH 3), and is stable to high temperature treatment under these conditions. The protein has a high
93 nutritional value as reflected in an essential amino acid profile comparable to that of egg white.
94 These properties of β -lg have facilitated its use as the active agent in various protein-fortified
95 beverages, such as fruit juices and sports drinks, and in varieties of these beverages with long
96 shelf-life.

97

98 **Purification and enrichment procedures**

99 A variety of laboratory and industrial-scale procedures for isolation of β -lg (and the other major
100 whey proteins) have been available for some time (Conti, Napolitano, Cantisani, Davoli &
101 Dall'Olio, 1988; Korhonen, Pihlanto-Leppala, Rantamaki & Tupasela, 1998). These procedures
102 all rely upon one or other, or a combination, of the physical and chemical properties of the β -lg
103 protein molecule. Preferential precipitation of β -lg at its isoelectric point, after concentration of
104 the whey source material using ultrafiltration and subsequent demineralisation by diafiltration or
105 electrodialysis, forms the basis of the earliest commercially feasible methodology (Pearce, 1987;
106 Bramaud, Aimar & Daufin, 1997). Selective precipitation of β -lg (and α -la) can also be achieved
107 through the addition of FeCl_3 to whey at an appropriate pH, and this phenomenon forms the basis
108 of an alternative fractionation technology (Kuwata, Pham, Ma & Nakai, 1985). Unfortunately,
109 such procedures are not readily amenable to commercial scale-up or to the isolation of tonne
110 quantities of the β -lg isolate, and they can also severely compromise the food functional and/or

111 bioactive properties of the isolated protein. However, Bounous and co-workers (1990, 1991,
112 1994, 1996) have described processes (in patents) for the production of undenatured whey protein
113 concentrates (containing β -lg and α -la) that have a variety of biological actions. These patented
114 processes are primarily based on microfiltration and ultrafiltration methods used in isolation, or in
115 combination.

116 The growing demand by food manufacturers for cost-competitive and multifunctional ingredients
117 means that the choice of processing/isolation technology for their manufacture is becoming
118 increasingly critical. For these reasons several alternative procedures have been proposed and
119 developed for industrial-scale isolation of β -lg. The most promising of these include liquid
120 chromatography (Ayers & Petersen, 1985; Skudder, 1985; Ayers, Elgar, Palmano, Pritchard &
121 Bhaskar, 2002), and the afore-mentioned methods of selective aggregation and precipitation of α -
122 la from a whey source concentrated by ultrafiltration, under specified conditions of pH and
123 temperature, leaving β -lg in solution and unaffected by the pH/temperature treatment.

124

125 **Biological activity**

126 *Inhibition of Angiotensin-Converting Enzyme (ACE) activity*

127 Angiotensin-converting enzyme (ACE) plays a major role in the regulation of blood pressure and
128 thereby hypertension. Various peptides derived from proteolytic digestion of β -lg have been
129 shown to have inhibitory activity against ACE. It has been shown that unhydrolysed β -lg had
130 very poor ACE inhibitory activity (Mullally, Meisel & Fitzgerald, 1997a, 1997b), but that digests
131 of the protein, generated using pepsin, trypsin, chymotrypsin, or other commercially available
132 proteases, resulted in high ACE inhibition indices (i.e. 73-90%). Furthermore, these workers
133 showed that the active peptides were usually short (< 8 amino acids) and could be enriched from
134 a mixture of protein and other peptides using ultrafiltration with low molecular weight cut-off
135 membranes (Mullally et al., 1997a). A tryptic peptide of β -lg (amino acids 142-148) was further
136 characterized following reversed-phase chromatographic isolation and shown to have an ACE
137 IC_{50} value of 42.6 nM (Mullally et al., 1997a). Similarly, several researchers have demonstrated

138 that a number of β -lg-derived peptides have impressive ACE inhibitory activity using a variety of
139 in vitro assay techniques (Abubakar, Saito, Kitazawa, Kawai & Itoh, 1998; Vermeirssen,
140 Deplancke, Tappenden, Van Camp, Gaskins & Verstraete, 2002; Vermeirssen, Van Camp &
141 Verstraete, 2002). In a study where whey proteins were treated with different lactic acid starters
142 and digestive enzymes, it was reported that two peptides from β -lg (amino acids 9-14 and 15-20),
143 following hydrolysis with trypsin or pepsin, and characterization by amino acid and MS-analysis,
144 had ACE inhibitory activity (Pihlanto-Leppala, Rokka & Korhonen, 1998). Four novel ACE-
145 inhibitory peptides have been reported from caprine β -lg, following hydrolytic treatment with
146 thermolysin and purification (Hernandez-Ledesma, Recio, Ramos & Amigo, 2002). It has been
147 demonstrated that a tetrapeptide isolated from β -lg (amino acids 142-145; Ala-Leu-Pro-Met),
148 termed 'beta-lactosin B', had significant anti-hypertensive activity when administered orally to
149 spontaneously hypertensive rats (SHR) and therefore had potential as a natural anti-hypertensive
150 agent for inclusion in foods (Murakami et al., 2004).

151

152 *Anti-microbial activity*

153 *Anti-bacterial effects:* Proteolytic digestion of bovine β -lg by trypsin has been reported to yield
154 four peptide fragments (amino acids 15-20, 25-40, 78-83 and 92-100) with bactericidal activity
155 (Pellegrini, Dettling, Thomas & Hunziker, 2001). These peptides have been isolated and
156 characterized, and found to exert their anti-microbial effects against Gram-positive bacteria only.
157 Modulation of the peptides via targeted amino acid substitution expanded the bactericidal activity
158 of the peptides to include the Gram-negative organisms Escherichia coli and Bordetella
159 bronchiseptica. The authors concluded that β -lg may exert an anti-microbial function in vivo after
160 its partial digestion by endopeptidases of the pancreas, and that small targeted modifications in
161 the sequence of these peptides could be useful in expanding their anti-microbial function
162 (Pellegrini et al., 2001). Peptide fragments of β -lg, generated through the action of alcalase,
163 pepsin or trypsin, have been shown to be bacteriostatic against E. coli, and against pathogenic
164 strains of E. coli, Bacillus subtilis and Staphylococcus aureus (Pihlanto-Leppala, Marnila, Hubert,

165 Rokka, Korhonen & Karp, 1999; El-Zahar, Sitohy, Choiset, Metro, Haertle & Chobert, 2004).
166 For example, the activity of E. coli JM103 in the presence of 25 mg mL⁻¹ β-Ig (or α-Ig)
167 hydrolysed with pepsin and trypsin was only 21% of the control after incubation for 6 h
168 (Pihlanto-Leppala et al., 1999). By contrast, the intact β-Ig species did not show any anti-
169 microbial activity even at concentrations as high as 100 mg mL⁻¹. It was also shown that
170 ultrafiltration through 10 kDa and 1 kDa molecular mass cut-off membranes may be used to
171 enrich the bacteriostatic properties of the β-Ig-derived peptides (Pihlanto-Leppala et al., 1999).

172

173 *Anti-viral effects:* Heterosexual transmission of human immunodeficiency virus type 1 (HIV-1) is
174 the major cause of the ongoing AIDS epidemic worldwide, and application of chemical barrier
175 methods is expected to contribute to control of this epidemic. Several studies have reported that
176 β-Ig, chemically modified with 3-hydroxyphthalic anhydride to form 3-hydroxyphthaloyl-β-Ig, is
177 effective in inhibiting HIV-1, HIV-2, simian immunodeficiency virus (SIV), herpes simplex virus
178 types 1 and 2, and Chlamydia trachomatis infection in vitro. The authors of these reports
179 conclude that the modified β-Ig may be effective as an inhibitor of HIV-1 infection in humans
180 (Berkhout, Derksen, Back, Klaver, de Kruif & Visser, 1997; Neurath, Debnath, Strick, Li, Lin &
181 Jiang, 1997a, 1997b; Wyand, Manson, Miller & Neurath, 1999; Oevermann, Engels, Thomas &
182 Pellegrini, 2003). β-Ig has also been shown to inhibit the replication of rotavirus in a dose-
183 dependent manner (Superti, Ammendolia, Valenti & Seganti, 1997).

184

185 *Pathogen adhesion effects:* The inhibition of microbial adhesion may prevent colonization of
186 pathogens at an early stage of infection, and thus prevent or reduce the impact of the infection.
187 The effect of β-Ig on adhesion of pathogens to human ileostomy glycoproteins has been the
188 subject of another study (Ouweland, Salminen, Skurnik & Conway, 1997). It was found that
189 adhesion of pathogenic strains of Klebsiella oxytoca and E. coli was inhibited by pre-incubation
190 of immobilized ileostomy glycoproteins with β-Ig in a concentration dependent manner. Further,
191 the disulfide bridges in the β-Ig molecule appear to be important to this activity, and the

192 inhibition of pathogen adhesion appears to be mediated by β -lg binding, at two distinct sites, to
193 the immobilized ileostomy glycoproteins. High heat-treatment of β -lg appears to adversely affect
194 this anti-adhesion activity of the protein (Ouweland & Salminen, 1998).

195

196 *Anti-carcinogenic activity*

197 Whey proteins, including β -lg, have been implicated in providing protection against development
198 of cancer in animal models when delivered orally. Such activity has been investigated in order to
199 establish the role of these proteins in disease prevention, and to contribute to a basis for their
200 inclusion as ingredients in functional foods. Animal feeding trials have compared the efficacy of
201 dietary whey proteins in retarding chemically induced colon cancer in a rat model of the disease.
202 Dairy proteins, in particular whey protein, were found to be efficacious in retardation of intestinal
203 tumours in young rats compared with other dietary proteins (meat, soy) (McIntosh, Regester, Le
204 Leu, Royle & Smithers, 1995). Results also suggested that diets supplemented with β -lg
205 enhanced protection against development of putative tumour precursors (aberrant crypts) in the
206 hind gut wall. The mechanism behind the apparent anti-cancer activity of dietary whey proteins
207 in these studies may be related to their sulphur amino acid content, for which there is a high
208 requirement in the rat, and hypothesized role in protecting DNA in methylated form. In a parallel
209 study, a number of potential functional foods containing whey protein (flavoured milk, pasta, ice
210 cream, dessert pudding, muesli, and savoury dip) have been developed in preparation for human
211 clinical trials. The foods containing whey protein were generally highly acceptable in sensory
212 trials. These products are expected to be suitable as delivery vehicles for dietary whey protein in
213 studies aimed at substantiating the human health benefits of this protein source, including β -lg
214 (McIntosh et al., 1998). β -Lg, among other whey proteins, appears to bind mutagenic
215 heterocyclic amines and thus provide some protection against their carcinogenic properties
216 (Yoshida, Ye & Nishiumi, 1991). The effects of whey proteins from bovine milk on
217 melanogenesis in cultured human melanocytes have been studied. Among the major protein

218 components of whey, only β -lg showed a depigmenting effect at a concentration of 1 mg mL⁻¹,
219 and also suppressed the activity of tyrosinase in these cells (Nakajima et al., 1997).

220

221 ***Hypocholesterolemic effect***

222 A tryptic peptide from β -lg (amino acids 71-75; Ile-Ile-Ala-Glu-Lys) has been shown to have
223 hypocholesterolemic activity in animal (rat) trials, and the mechanism of action would appear to
224 relate to inhibition of micellar solubility of the cholesterol, which in turn causes suppression of
225 cholesterol absorption by a direct interaction between cholesterol mixed micelles and the tryptic
226 peptide in the jejunal epithelia. The authors claim that their study provides the first direct
227 evidence of a new hypocholesterolemic peptide derived from β -lg that exhibits a greater
228 hypocholesterolemic effect than β -sitosterol in animal trials (Nagaoka et al., 2001). Further, β -
229 lactotensin, a neurotensin agonist derived from β -lg, shows hypocholesterolemic activity after
230 administration to mice for 2 days at a dose of 30 mg kg⁻¹ (i.p.) or 100 mg kg⁻¹ (p.o.) (Yamauchi,
231 Ohinata & Yoshikawa, 2003). However, some caution needs to be taken when attempting to
232 extrapolate results from animal studies, particularly using rodent models, to potential effects in
233 humans, as hypocholesterolemic effects can be animal-model specific.

234

235 ***Metabolic and physiological effects***

236 *Fatty acid metabolism:* Although β -lg can bind in vitro to a variety of hydrophobic substrates,
237 including retinol and long-chain fatty acids, its physiological function is still largely unknown
238 and subject to speculation. The retinol and fatty acid binding of β -lg has been widely implicated
239 in the proposed physiological function of β -lg. Fatty acid binding sites have been characterised on
240 β -lg (Perez, Sanchez, Aranda, Ena, Oria & Calvo, 1992) and it was concluded that β -lg could
241 participate in the digestion of milk lipids during the neonatal period by enhancing the activity of
242 pre-gastric lipase by binding fatty acids that inhibit this enzyme. In addition, it has been shown
243 that β -lg enhanced intestinal uptake of retinol, triglyceride, and long-chain fatty acids in pre-

244 ruminant calves (Kushibiki et al., 2001), and it was speculated that the protein may play a role in
245 the absorption and subsequent metabolism of fatty acids.

246

247 *Mammalian cell growth factor activity:* One report suggests that bovine β -lg at high
248 concentration (almost 3 g L^{-1}) exhibits mitogenic activity equal to that of whole whey, as
249 determined by DNA synthesis in hybridoma cultures. This same study indicated that there are
250 variant differences in this mitogenic activity, the B variant of β -lg showing significantly lower
251 activity (Moulti-Mati, Mati, Capiaumont, Belleville, Linden & Nabet, 1991).

252

253 *Opioid activity:* During the past two decades a variety of food protein fragments have been
254 demonstrated to elicit biological effects in various in vitro or in vivo test systems. A considerable
255 number of these bioactive peptides come from milk proteins, and show opioid-like activity, and
256 may be regarded as exogenous supplements to the endogenous opioidergic cellular systems
257 (Teschemacher & Koch, 1991; Teschemacher, 2003). Several whey protein fragments have been
258 shown to behave like opioid receptor ligands (Teschemacher, Koch & Brantl, 1997). Specifically,
259 β -lactorphin, a tetrapeptide (amino acids 102-105; Tyr-Leu-Leu-Phe) derived from β -lg, behaves
260 like an opioid receptor agonist. Recently, β -lactorphin has been shown to improve arterial
261 function in SHR. Notably, β -lactorphin improved vascular relaxation in adult SHR in vitro, and
262 additionally enhanced endothelium-independent relaxation (Sipola et al., 2002). While these
263 reports are interesting, only a minority of the opioid activity has been observed upon oral or intra-
264 gastric administration of these peptides or their precursor proteins, and most studies have been
265 performed in animals (Teschemacher, 2003). A recent study (Roufik, Gauthier & Turgeon, 2006)
266 on bioactive peptides derived from bovine β -lg has supported the view that in vivo studies are
267 essential to validate the physiological effects of bioactive peptides and that long-chain bioactive
268 peptides require protection from gastrointestinal enzymes when orally administered.

269

270 B) α -Lactalbumin

271 **Background**

272 *Amounts in bovine and human milk:* In mature bovine milk, the concentration of α -la is 1 to
273 1.5 g L^{-1} , comprising approximately 3.4% of the total protein or 20% of the whey proteins
274 (Swaisgood, 1995). On the other hand, α -la is the predominant whey protein in human milk.
275 Levels of α -la increase from 21% to 34% between day 1 and 14 of lactation (Montagne,
276 Cuilliere, Mole, Bene & Faure, 1999). α -La concentrations in mature human milk (after day 30)
277 are $2.44 \pm 0.64 \text{ g L}^{-1}$, determined in a multinational study (Jackson, Janszen, Lonnerdal, Lien,
278 Pramuk & Kuhlman, 2004).

279

280 *Structure:* At the amino acid level, the homology between human and bovine α -la can be
281 described as having 76% fully conserved residues (93 out of 123 amino acids) and 88%
282 similarity when conservation of strong and weak groups are taken into consideration ("ClustalW
283 on-line program", 2006). A similar high degree of homology exists between α -la of most other
284 mammals. However, a new form of human α -la has recently been discovered, which consists of a
285 single nucleotide polymorphism. The biological implications of this new form remain to be
286 determined (Chowanadisai et al., 2005). α -La has a globular structure in aqueous solution. It
287 exhibits a high affinity to metal ions, calcium in particular, at the junction of subdomains at
288 residues 79-88 containing five aspartates (Permyakov & Berliner, 2000). Calcium depletion at
289 low pH causes structural changes to form the so-called molten globule state. This has important
290 implications during purification processes and for the bioactivity of the protein (see later,
291 formation of anti-tumour α -la complexes). Using differential scanning calorimetry, α -la, in the
292 presence of saturating amounts of calcium, is characterised by being quite thermo-stable having a
293 melting temperature (T_m) of 68°C . However, in the absence of calcium, this protein is very
294 unstable (T_m of 43°C). Therefore, binding of calcium is of utmost importance for maintaining the
295 structure of this protein. This thermal instability is exploited in one process to purify alpha-

296 lactalbumin and will be discussed in later sections.

297

298 **Purification of α -lactalbumin**

299 The starting material for enrichment and purification of bovine α -la is usually whey. Many
300 industrial processes have been reported and methods have been reviewed extensively (Imafidon,
301 Farkye & Spanier, 1997). However, although many of these methods of purification have worked
302 at laboratory scale, scale-up to pilot scale and industrial scale has been difficult, if not
303 disappointing (Gesau-Guiziou, Daufin, Timmer, Allersma & van der Horst, 1999).

304

305 *Membrane technology:* As many processes in the dairy industry are based on membrane
306 technology, this technique has also been exploited to enrich α -la. This can be achieved by
307 performing microfiltration to remove β -lg or alternatively ultrafiltration using a 50 kDa cut-off
308 membrane, thereby passing α -la into the permeate (Uchida, Shimatani, Mitsuhashi & Koutake,
309 1996). More commonly, enriched fractions of α -la have been obtained by using a two-membrane
310 cascade membrane filtration scheme (Roger, Maubois, Brule & Piot, 1987; Bottomley, 1991;
311 Mehra & Kelly, 2004).

312

313 *Selective hydrolysis of other milk proteins:* A novel approach has been the use of enzymes such
314 as trypsin or alpha-chymotrypsin to selectively degrade β -lg (Kaneko, Kojima, Kuwata &
315 Yamamoto, 1992). A protease of microbial origin has also been used for this purpose (Kaneko,
316 Kojima, Kuwata & Yamamoto, 1994).

317

318 *Ion exchange chromatography:* The advent of more sophisticated means of separating milk
319 proteins at process scale allowed ion exchange chromatography to be chosen for some
320 applications (Outinen, Harju, Tossavainen & Antila, 1995). Chymosin whey has been adjusted to
321 pH 5 or higher where α -la did not bind to the ion exchange matrix and was therefore easily
322 eluted. The fraction was then adjusted to pH 4.0 and ultrafiltered on a narrow molecular weight

323 cut-off membrane to separate glycomacropeptide from α -la (Yukio, Masaharu, Ichirou, Suzuka &
324 Masanobu, 1992). In a different approach, α -la was recovered from the whey by heating WPC to
325 a temperature of 75°C and acidifying using a cation exchange resin in (H⁺) form (Rialland &
326 Barbier, 1988).

327

328 *Purification by isoelectric precipitation:* Due to the high costs of ion exchange columns and
329 resins, the majority of isolation procedures utilise isoelectric precipitation, often in combination
330 with heat treatment. This method is cheap and relatively easy to perform and involves whey
331 protein first being desalted and the pH adjusted to pH 3.8-5.5. The resulting solution is heat
332 treated at between 55-70°C for more than 30 seconds to permit aggregation of part of the whey
333 protein. Thereafter, the solution is cooled to 55°C to permit flocculation of the aggregates that
334 consisted of α -la. The α -la is then isolated by microfiltration (Pearce, 1995). A similar method
335 has been used in which the protein was destabilized by exposing whey protein to a calcium-
336 binding ion-exchange resin. The pH was then adjusted to between 4.3 and 4.8 and incubated
337 between 10 and 50°C. The protein was then fractionated to isolate α -la and the pH neutralised
338 (De Wit & Bronts, 1997). By combining isoelectric precipitation and heat treatment, a new
339 method was designed, comprising of heat treatment of a 15% (w/w) whey protein concentrate at
340 60-80°C at neutral pH followed by cooling to 45°C and pH adjustment to 4.2-4.5. α -La was then
341 isolated leading to an α -la/ β -lg ratio of more than 0.43 (Hakkaart, Kunst, Leclercq, De Levita &
342 Moonen, 1992).

343

344 As mentioned in the earlier section, α -la is sensitive to calcium and adjustment of the pH to
345 around the isoelectric point of α -la results in formation of the molten globule form of the protein.
346 Mild heat treatment causes the protein to precipitate. Unfortunately, the drawback of this
347 approach is that the structure of the protein is irreversibly altered (Chatterton, 2001) compared to
348 that of the more gentle methods of purification (Chatterton, Nielsen, Holst, Bertelsen &
349 Albertsen, 1999). As a result, the bioactivity of the protein could be impaired. The digestibility is

350 altered as demonstrated by a study whereby α -la was ingested under conditions similar to that
351 found in early neonatal life (Chatterton, 2001). The peptide 41-52 was released less efficiently
352 when the sample was heat treated according to (Pearce, 1995) compared to that of the non-heat
353 treated sample. This may be of significance as the amino acids 41-53 hold some of the bioactive
354 peptide discussed in the following section.

355

356 **Bioactivity and Applications**

357 α -La is known for its part of the lactose synthase complex that catalyses the last step of the
358 biosynthesis of lactose and controls the subsequent movement of water into the mammary
359 secretory vesicles. It is therefore critical for lactational control and secretion of milk (Brew,
360 Vanaman & Hill, 1968; Lo, Shaper, Pevsner & Shaper, 1998).

361 The health effects of α -la for human consumption can be subdivided into three groups: those
362 related to (i) the intact, whole protein, (ii) peptides of the partly hydrolysed protein and (iii) the
363 amino acids of the fully digested protein. Great emphasis has been placed on the latter,
364 nutritional aspect, as α -la is a particularly good source of the essential amino acids Trp and Cys
365 as these amino acids are precursors of serotonin and glutathione, respectively. Based on the
366 assumption that the nutritional need of a neonate is fully met by human milk, there is a drive to
367 “humanise” or “adapt” the formulation to adjust for the different amino acid profile of human
368 and bovine milk (Kelleher, Chatterton, Nielsen & Lonnerdal, 2003; Lien, 2003). Bovine α -la,
369 with its high homology to human α -la, is an ideal protein to overcome this discrepancy. α -La
370 enriched whey protein fractions with a reduced β -lg content are therefore of high interest to
371 manufacturers of infant formula.

372

373 ***Inhibition of Angiotensin-Converting Enzyme (ACE) activity and blood pressure-lowering*** 374 ***effects:***

375 The peptide with the amino acids sequence Tyr-Gly-Leu-Phe (amino acids 50-53), released from
376 α -la by pepsin treatment was shown to inhibit angiotensin-I-converting enzyme (ACE), having an

377 IC₅₀ value of 733 μM (Mullally, Meisel & Fitzgerald, 1996). This peptide is termed α-lactorphin
378 (Yoshikawa, Tani, Yoshimura & Chiba, 1986). Interestingly, proteolytic fragments of this
379 peptide i.e. the dipeptides Tyr-Gly (amino acids 18-19 and 50-51) and Leu-Phe (amino acids 52-
380 53) were also observed to have an inhibitory effect, having IC₅₀ values of 1523 and 349 μM
381 respectively (Mullally et al., 1996). Other studies detected ACE inhibitory activity in peptides
382 Tyr-Gly-Leu (amino acids 50-52) at similar IC₅₀ values (409 μM) (Pihlanto-Leppala, Koskinen,
383 Piilola, Tupasela & Korhonen, 2000). In contrast, peptides with higher inhibitory activity were
384 also detected towards the C-terminus of α-La, i.e. Val-Gly-Ile-Asn-Tyr-Trp-Leu-Ala-His-Lys
385 (amino acids 99-108) exhibited an IC₅₀ of 327 μM. The sequence Trp-Leu-Ala-His-Lys (amino
386 acids 104-108) exhibited an IC₅₀ value of only 77 μM.

387 In conscious spontaneously hypertensive rats and in normotensive rats, α-lactorphin lowered
388 blood pressure in a dose-dependent and naloxone inhibitable manner. These effects occurred at
389 10 μg kg⁻¹ dosages. At higher dosages of 100 μg kg⁻¹, maximal reductions in systolic and
390 diastolic blood pressure of 23 ±4 and 17 ±4 mm Hg, respectively, were achieved (Nurminen et
391 al., 2000)

392

393 *Anti- carcinogenic activities*

394 Recently, a folding variant of human α-la was discovered, which selectively enters tumour cells
395 and induces an apoptosis like mechanism, probably by binding to histones and thereby disrupting
396 the chromatin organisation in the cell nuclei (Duringer, Hamiche, Gustafsson, Kimura &
397 Svanborg, 2003). This kinetically trapped protein-lipid complex was named
398 HAMLET/BAMLET for Human/Bovine Alpha-Lactalbumin Made Lethal to Tumour Cells (Fast,
399 Mossberg, Svanborg & Linse, 2005). It consists of the calcium depleted apo form of α-la in the
400 afore-mentioned molten globule state, which is stabilised by a fatty acid cofactor. It is
401 noteworthy that the α-la/fatty acid interaction is stereo-specific; only unsaturated cis fatty acids
402 bind to α-la and only the C18:1:9cis fatty acid (oleic acid), bound to α-la in a compact
403 conformation is active against tumour cells (Svensson, Mossberg, Pettersson, Linse & Svanborg,

404 2003; Fast, Mossberg, Nilsson, Svanborg, Akke & Linse, 2005). The complex is formed from
405 either co-precipitated α -la in acid-casein or calcium depleted α -la from whey, on an anionic
406 exchange column that was previously conditioned with either the relevant fatty acid or casein
407 from human milk (which also contains traces of the fatty acid) (Svanborg & Svensson, 2003).
408 The active complex is washed off at very high NaCl concentration as it binds tightly to the
409 column matrix. To date, no alternative method of complex formation has been published. In
410 vitro, both the human and bovine forms were shown to induce apoptosis in a wide variety of
411 tumour cells (Svensson, Fast et al., 2003) The specific therapeutic effect of HAMLET in vivo has
412 recently been demonstrated on several examples such as human skin papillomas (Gustafsson,
413 Leijonhufvud, Aronsson, Mossberg & Svanborg, 2004), human glioblastoma (GBM) tumour in
414 mice (Fischer et al., 2004) and mammary cells of mice (Baltzer, Svanborg & Jaggi, 2004).
415 These newly described α -la compounds can be considered potential candidates for therapeutic or
416 prophylactic treatment. However, to-date, the health benefits for human digestion (in particular in
417 neonates) of milk or dairy products and whether or not such complexes are formed at any stage
418 during digestion remains highly speculative. This requires further scientific and/or clinical
419 investigation.

420

421 *Anti-microbial activity*

422 The α -la complex described above as HAMLET has also been shown to exhibit anti-microbial
423 activity, in particular against Streptococcus pneumoniae (both antibiotic sensitive and resistant
424 strains) and Haemophilus influenzae. It was pointed out that commercially available α -la samples
425 lacked those biological activities (Svanborg & Sabharwal, 2004), the most likely reason being the
426 purification method for α -la (size exclusion chromatography) whereby compounds of higher
427 molecular weight are discarded and only monomeric α -la retained.

428 A clinical study using α -la enriched infant formula showed an activity against enteropathogenic
429 E.coli O127 and reduced incidences of diarrhoea comparable to that of breast milk (Bruck,
430 Kelleher, Gibson, Nielsen, Chatterton & Lonnerdal, 2003). This action might be related to

431 peptides which are released from α -la during digestion. It is known, that trypsin treatment of α -la
432 has been shown to release two antibacterial peptides Glu-Gln-Leu-Thr-Lys (amino acids 1-5),
433 and Gly-Tyr-Gly-Gly-Val-Ser-Leu-Pro-Glu-Trp-Val-Cys-Thr-Thr-Phe (amino acids 17-31)
434 disulphide-bonded to Ala-Leu-Cys-Ser-Glu-Lys (amino acids 109-114). Treatment using another
435 intestinal enzyme, chymotrypsin, resulted in one antibacterial peptide, namely, Cys-Lys-Asp-
436 Asp-Gln-Asn-Pro-His-Ile-Ser-Cys-Asp-Lys-Phe (amino acids 61-68) disulphide bound to amino
437 acids 75-80. These peptides were mostly active against Gram-positive bacteria, however weaker
438 effects were observed with Gram-negative bacteria (Pellegrini, Thomas, Bramaz, Hunziker &
439 von Fellenberg, 1999). Although pepsin did not release any antibacterial peptides in the study by
440 Pellegrini et al. (1999), a different study indicated that both pepsin or trypsin released peptides
441 from α -la which inhibited the growth of *E. coli* JM103; the peptide concentration was 25 mg mL⁻¹
442 ¹, whereas unhydrolysed α -la did not inhibit the growth at a concentration of 0.1 g mL⁻¹
443 (Pihlanto-Leppala et al., 2000).

444

445 *Structural impact on bioactivity:* The discovery of bioactive peptides linked via disulphide bonds
446 again highlights the importance of maintaining the structure of α -la using mild processing
447 conditions during purification. Heat-treatment is known to alter the disulphide bond pattern
448 within proteins and/or to cause inter-molecular cross-linking. α -La alone or in the presence of
449 other whey proteins has been shown to induce formation of inter-molecular disulphide bonds
450 between α -la itself, α -la and β -lg, involving Cys 61 (note: Cys 61 is part of the afore-mentioned
451 anti-bacterial peptide) and Cys 111 (Livney, Verespej & Dalglish, 2003) or α -la and BSA
452 (Havea, Singh & Creamer, 2001; Livney et al., 2003). This would prevent the release of these
453 disulphide linked bioactive peptides.

454

455 ***Growth-promoting and opioid activity***

456 A study has also shown that peptides from hydrolysed α -la have growth-promoting effects on
457 *Bifidobacterium longum* ATCC 15707 (Kee, Kim, Jung, Yun, Juhn & Hong, 1998). It was

458 further claimed that α -la could act as a prebiotic agent and be used as such in food and food
459 supplements (Maase & Steijns, 2002).

460 The sequence of the amino acids Tyr-Gly-Leu-Phe (amino acids 50-53), released from α -la by
461 pepsin treatment, has structural similarities to the opioid peptide human leu-enkephalin that has
462 the amino acid sequence Tyr-Gly-Gly-Phe, termed α -lactorphin (Horikawa et al., 1983). An
463 opioid-like effect of this synthetic α -la peptide has been reported, having a weak activity both in
464 receptor assay and pharmaco-dynamic measurements in guinea pig ileum and mouse vas deferens
465 preparations in vitro (Yoshikawa et al., 1986).

466

467 *α -Lactalbumin in the management of stress*

468 Tryptophan is a precursor for brain serotonin, which may improve the ability to cope with stress.
469 Studies were carried out to investigate whether α -la might alleviate symptoms of stress in adult
470 subjects. However, large neutral amino acids can compete with transport of tryptophan across the
471 blood brain barrier, preventing uptake of tryptophan. The tryptophan to large neutral amino acid
472 ratio in plasma was observed to be 48% higher after an α -la diet than that after a casein diet.
473 Furthermore, in stress-vulnerable subjects, higher prolactin concentrations, decreased cortisol and
474 a reduction in depressive feelings were observed under stress (Markus et al., 2000). In later
475 studies, α -la was observed to improve cognitive performances in stress-vulnerable individuals by
476 increased brain tryptophan and serotonin activity (Markus, Olivier & de Haan, 2002). Other
477 clinical trials suggested that α -la could be used to improve sleep in adults submitted to nutritional
478 disturbances (Minet, Le, Tome & Even, 2004).

479 Another clinical trail on rats demonstrated that α -la can protect against ethanol and stress-induced
480 gastric injury (Matsumoto, Shimokawa, Ushida, Toida & Hayasawa, 2001) such as stomach
481 ulcers with a dose dependent effect (optimum 200 mg kg⁻¹). Interestingly, it exhibits a
482 comparable potency to that of the typical antiulcer agent, Selbex. A subsequent study by the
483 same group found that α -la causes an increase in the gastric luminal pH, an increase in gastric
484 fluid and a delay in gastric emptying (Ushida, Shimokawa, Matsumoto, Toida & Hayasawa,

485 2003).

486

487 *Supplementation to infant formulas*

488 Formula-fed infants have been shown to have disparities in plasma amino acids compared to
489 breast-fed infants (Raiha, Minoli & Moro, 1986; Raiha, Minoli, Moro & Bremer, 1986; Heine,
490 Radke, Wutzke, Peters & Kundt, 1996; Sarwar & Botting, 1999), particularly in the levels of
491 tryptophan that have been demonstrated to be lower. To prevent this, the levels of protein in
492 infant formulas have been adjusted to be far higher than in human milk. However, such high
493 protein levels can be unhealthy for infants. Therefore, there is now a trend in lowering the protein
494 content of infant formulas to approach the level found in human milk. Unfortunately, this will
495 only enhance the disparities in the plasma amino acid profile, unless a protein rich in essential
496 amino acids, tryptophan in particular, is added to the formula. As α -la is rich in essential amino
497 acids, this protein is ideally suited to this purpose. This has been shown to be the case in a pre-
498 clinical study in infant rhesus monkeys (Kelleher et al., 2003). More recently, α -la supplemented
499 to reduced-protein infant formulas has been observed to supply adequate nutrition for infants
500 despite a reduction in the protein content of the formula and additionally were better tolerated
501 than control formula (Lien, Davis & Euler, 2004). As mentioned before, clinical trials with α -la
502 enriched infant formula showed anti-microbial activity (Bruck et al., 2003).

503

504 *Allergenicity of α -lactalbumin and β -lactoglobulin*

505 The prevalence of allergies to cow's milk in the general population depends on geographical
506 location and ethnicity and varies from 1 to 3%, being highest in infants and lowest in adults
507 (Bahna, 2002). Almost all milk proteins have been implicated in allergic reactions (Chatchatee,
508 Jarvinen, Bardina, Beyer & Sampson, 2001; Chatchatee, Jarvinen, Bardina, Vila, Beyer &
509 Sampson, 2001; Järvinen, Chatchatee, Bardina, Beyer & Sampson, 2001; Busse, Järvinen, Vila,
510 Beyer & Sampson, 2002; Wal, 2002; Cocco, Jarvinen, Sampson & Beyer, 2003). In patients with
511 persistent allergy to cow's milk; four IgE- and three IgG-binding regions have been identified on

512 α -la, while seven IgE- and six IgG-binding epitopes were detected on β -lg. In patients likely to
513 outgrow their allergy, three of these IgE-binding epitopes were detected on β -lg and none on α -la
514 (Järvinen et al., 2001). A proteomics approach in combination with immunoblotting, indicated
515 that all major milk proteins including β -lg were allergens, though no evidence was found for α -la
516 (Natale et al., 2004). Consequently, there is no consensus between studies regarding the
517 allergenicity of α -la. The lack of agreement between studies might be related firstly to the
518 thermal history of the protein. As mentioned earlier, there are several techniques available to
519 purify both β -lg and α -la at process scale. It is also known that the allergenicity of a protein can
520 be changed by thermal processing. Although allergenicity can be lost by heat treatment, the
521 converse also applies; namely that heat-denatured proteins can also present new antigenic sites,
522 which are uncovered by the unfolding process or created by new chemical reactions with other
523 molecules present in the food, e.g. β -lg associating with α -la in milk (Davis & Williams, 1998;
524 Livney et al., 2003) and β -lg/ α -la/BSA disulphide cross-linking (Havea et al., 2001). Therefore,
525 further studies are necessary to take into account the thermal history of the proteins in milk, in
526 particular α -la when supplemented to the new generation of infant formulas. Secondly, the degree
527 of allergenicity of a milk protein can be related to the type of techniques used. For instance,
528 tryptic peptides from bovine α -la have been reported to have a specific IgE binding capacity and
529 therefore linked to development of allergenicity (Maynard, Pierre & Maubois, 1989). However,
530 as trypsin is not the only proteolytic enzyme in the gastrointestinal tract, it is highly likely that
531 these peptide sequences are cleaved further to smaller peptides in vivo, potential allergenic
532 epitopes are thereby broken up. Indeed, extensive hydrolysis of proteins to small peptides or even
533 amino acids is used to make dairy proteins for commercial hypoallergenic milk products
534 (Sampson, Bernhisel-Broadbent, Yang & Scanlon, 1991; Crittenden & Bennett, 2005).

535

536 **Conclusion**

537 β -Lg provides the food industry with a unique ingredient material, a cost-effective protein with
538 attractive properties in food functionality. It exhibits a growing number of biological activities

539 including anti-hypertensive, anti-cancer, hypocholesterolemic, opioidergic, and anti-microbial
540 effects, among others. This major bovine whey protein thus demonstrates true multi-functionality
541 providing the industry with a plethora of opportunities for development of novel foods and
542 beverages containing this protein. Manufacturing techniques are simple and cost-effective,
543 relying upon the physico-chemical properties of the β -lg protein, and resulting in isolates of
544 varying levels of purity for specific applications. While the bioactivities reported for β -lg and its
545 peptide fragments are exciting and provide an opening for the inclusion of β -lg as the active
546 ingredient in a range of functional foods and beverages, progress needs to be cautious, as many of
547 these bioactivities are only putative.

548 Bovine α -la is ideally suited as an ingredient for infant nutrition, based on its high degree of
549 amino acid homology to human α -la. The biological function of bovine α -la and its peptides
550 include those mentioned above for β -lg but also stress reducing and sleep improving properties.
551 However, the type of industrial purification or enrichment of this protein from milk and whey can
552 be of critical importance for maintaining some of the biological effects. Heat treatment applied
553 during processing can alter the structure of the protein and thereby contributing to lower
554 digestibility and changing the biological activity of the protein. Other whey proteins that are
555 usually in the presence of α -la enriched products have been shown to cross-link to α -la, thereby
556 irreversibly altering the molecular structure, which is likely to affect the bioactivity of the
557 protein. Therefore, the determination of the intactness of the molecular structure in its native state
558 seems of crucial importance in order to assure full preservation of its bioactivity. There is still a
559 strong need for clinical trials in order to support some of the afore-mentioned health claims.
560 Finally, this review clearly shows that further research is needed to both independently confirm
561 the reported bioactivities and to better understand the mechanism of action at a molecular level.

562

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