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TITLE Detection of adulteration in fresh and frozen beefburger products by beef offal using mid-infrared ATR spectroscopy and multivariate data analysis

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17 Detection of adulteration in fresh and frozen beefburger
18 products by beef offal using mid-infrared ATR
19 spectroscopy and multivariate data analysis
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41 Abstract

42 A series of authentic and offal-adulterated beefburger samples was produced.
43 Authentic product (36 samples) comprised either only lean meat and fat (higher
44 quality beefburgers) or lean meat, fat, rusk and water (lower quality product). Offal
45 adulterants comprised heart, liver, kidney and lung. Adulterated formulations (46
46 samples) were produced using a D-optimal experimental design. Fresh and frozen-
47 then-thawed samples were modelled, separately and in combination, by a
48 classification (partial least squares discriminant analysis) and class-modelling (soft
49 independent modelling of class analogy) approach. With the former, 100% correct
50 classification accuracies were obtained separately for fresh and frozen-then-thawed
51 material. Separate class-models for fresh and frozen-then-thawed samples exhibited
52 high sensitivities (0.94 to 1.0) but lower specificities (0.33 – 0.80 for fresh samples
53 and 0.41 – 0.87 for frozen-then-thawed samples). When fresh and frozen-then-thawed
54 samples were modelled together, sensitivity remained 1.0 but specificity ranged from
55 0.29 to 0.91. Results indicate a role for this technique in monitoring beefburger
56 compliance to label.

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60 **Keywords:** beefburger; authenticity; adulteration; offal; class-modelling;
61 discrimination.

62 1. Introduction

63 Intentional or economically-motivated adulteration of food has recently been defined
64 as “the fraudulent addition of non-authentic substances or removal or replacement of
65 authentic substances without the purchaser’s knowledge for economic gain of the
66 seller” (Moore, Spink and Lipp, 2012). Recent scandals involving adulteration of milk
67 powder with melamine (BBC, 2010), spices with Sudan Red dye (EC, 2010), milk
68 with glucose and skim milk powder (Times of India, 2012) and processed beef
69 products with horsemeat (Reuters, 2013) have reverberated around the world and
70 impinged on the consciousness of informed consumers. Not only have these scandals
71 involved economic fraud but the Sudan Red and melamine adulterations in particular
72 raised significant issues of food safety which had not always been associated with
73 food adulteration incidents. A recent trend in the USA has been to consider all food
74 adulterations as potential food safety issues on the basis that most people adulterating
75 food are assumed to have limited or no knowledge of any potential food safety
76 implications of their acts. To offer greater protection to society, therefore, a regulatory
77 strategy based on the assumption that food safety may be compromised by
78 adulteration is merited (Moore, Spink and Lipp, 2012).

79

80 Meat is an important constituent of diets of the developed world; consumption in the
81 developing world is also increasing rapidly. In the USA and UK, the most important
82 meat sources are pigs, sheep and cattle while in other regions such as India, the
83 Middle East and Africa, goat and camel are the main meats consumed. Meat products
84 such as sausages, burgers, pork pies, etc. account for almost half of all meat consumed
85 in developed countries. In many of these countries, beef consumption has been in
86 slow decline and has lost market share at the expense of pork and poultry (chicken) in

87 particular (Kearney, 2010). Meat offal consumption in Ireland is intermittent and
88 generally in decline (McCarthy and Barton, 1998), thereby creating a challenge for
89 the beef industry to find a market outlet for offal materials.

90

91 Once comminuted, beef is no longer identifiable as such to the naked eye
92 (McElhinney, Downey and O'Donnell, 1999). When they buy such products,
93 consumers rely on a producer's reputation for reassurance that products are actually
94 what they claim to be. Beefburgers represent a significant class of comminuted beef
95 product which is regularly consumed by many people in the developed world. In
96 2012, 1.1 billion hamburgers were produced annually to supply McDonald's outlets in
97 Ireland and Britain alone (Irish Farmers Journal, 2012). Consumer trust is of critical
98 importance to the modern food processing industry (Gellynck, Verbeke and Vermeire,
99 2006) and the meat sector has been subjected to a number of food scares in the recent
100 past e.g. the Belgian and Irish dioxin crises and outbreaks of foot-and-mouth disease
101 in several countries. These events each produced a sudden reduction in demand for
102 meat products followed by a slow and often incomplete recovery (Verbeke, 2001).

103 The immediate and dramatic impact of the BSE outbreak in 2000 on home meat
104 consumption in Spain (down 12%), France (decrease of 40%), Germany (reduction of
105 60%), Italy (42% drop) and Portugal (30% reduction) has been documented (Angulo
106 and Gil, 2007). Of additional concern is the extent to which consumer responses to a
107 specific food scare may impact on other, even unrelated, foods and the purchasing
108 decisions consumers subsequently make. Food safety is increasingly recognised as a
109 credence attribute and consumers depend on trust in the processing industry,
110 regulators and retailers to avoid the purchase of food which may be deleterious to
111 health (Lobb, Mazzocchi and Traill, 2007). Analysis of information processing by

112 consumers during a food crisis is not readily available (Grunert, 2002) but it seems
113 reasonable to anticipate a general increase in distrust in the food processing industry
114 following food crisis events. Development of control mechanisms capable of
115 detecting potential safety problems as early as possible in the food chain are therefore
116 in the interest of producers, processors, retailers and consumers alike.

117 Detection of adulteration in meat and meat products using vibrational spectroscopic
118 techniques has been the subject of some previous reports (Karoui, Downey & Blecker,
119 2010; Rodriguez-Saona and Allendorf, 2011; Rohman et. al., 2011; Rohman and Che
120 Man, 2011; Weeranantanaphan et al., 2011); research efforts have also been
121 expended on various data analysis approaches (Panagou et al., 2011; Argyri et al.,
122 2013) and emerging techniques such as image analysis (Dissing et al., 2013).

123 Admixture of offal with beef to produce beefburgers is a potential adulteration issue
124 and no established methods are currently available to detect such adulteration. A
125 number of publications have demonstrated experimental models based on near
126 infrared or mid-infrared spectroscopy for the detection of offal or meat from other
127 species in mixtures with minced beef (McElhinney, Downey and O'Donnell, 1999;
128 Meza-Márquez, Gallardo-Velázquez, Osorio-Revilla, 2010; Al-Jowder, Defernez,
129 Kemsley and Wilson, 1999, 2002; Prieto, Roehe, Lavín, Batten and Andrés, 2009;
130 Norsy and Sun, 2013; McElhinney, Downey and Fearn, 1999). However, with
131 particular regard to offal, these studies were often based on simple admixtures and the
132 aim of some reports was to quantify offal content in minced meat products which the
133 authors knew to contain offal. The actual type of offal involved was also often not
134 clearly described. In this work we undertook experiments (1) which included
135 authentic and adulterated beefburgers of two quality categories to correspond to
136 commercial practice, (2) made use of an experimental design approach to facilitate the

137 involvement of four types of offal (heart, lungs, kidney and liver) and other normal
138 components (water and rusk) either individually or in combination so as to cover the
139 widest possible range of inclusion values and (3) studied the products developed in
140 both the fresh and frozen-then-thawed states to be consistent with normal commercial
141 practice for the lower quality beefburgers in particular. The goal was to develop a
142 capability to discriminate between authentic beefburgers and beefburgers adulterated
143 with offal irrespective of the quality class (high or low) of the meat product.

144

145 2. Material and methods

146

147 *2.1 Beefburger formulation and manufacture*

148 Authentic beefburgers were produced in two groups – called lean and fat– which
149 correspond to higher (lean) and lower (fat) quality levels. Higher quality beefburgers
150 contained only lean beef and beef fat. Lean meat content was varied between 80 and
151 100% w/w of burger in 2.5% w/w increments with fat accounting for the remainder.
152 Lower quality beefburgers contained rusk (5% w/w) and water (20% w/w) in addition to
153 lean beef (45 - 62.5% w/w in 2.5% w/w increments) and beef fat (22.5 – 10% w/w in
154 2.5% w/w increments). Beefburgers in each of the two groups were made on separate
155 occasions beginning with the highest lean meat content and moving to the lowest.
156 Depending particularly on the quality of the lean meat purchased, production of either
157 group sometimes required more than 1 day. Each group of beefburgers was produced
158 on two separate occasions; therefore, a total of 36 (18 higher quality and 18 lower
159 quality) authentic beefburgers was prepared.

160

161 Adulterated beefburgers were formulated with lean beef, beef fat, water, rusk and
162 offal (liver, lung, kidney and heart). Formulations were produced according to a D-
163 optimal experimental design (Design Expert v. 7.6.1, Stat-Ease Inc., Minneapolis, MN,
164 USA) with minimum and maximum incorporation levels of meat (0-75% w/w), fat (0-
165 25% w/w), water (0-15% w/w) and rusk (0-5% w/w) set by the operator; each
166 formulation also contained liver, lung, kidney and heart, each at variable amounts in
167 the range 0-20% w/w . A total of 46 different beef burger formulations was generated
168 by the design software to efficiently represent the design space for the multitude of
169 possible combinations of these ingredients. These beefburgers were produced over a
170 period of several days; each formulation was produced once. The recipe formulations
171 followed in this work are shown in Table 1.

172 Table 1 near here

173 Fresh beef (brisket), beef offal (kidney, liver, lungs and heart) and beef fat were
174 purchased from local stores and stored overnight at 4 °C at Teagasc Food Research
175 Centre Ashtown. Skin, fatty tissue, connective tissue, visible blood vessels and
176 cartilage were manually excised to ensure the highest possible quality of the raw
177 materials. Raw materials were cut into cubes, weighed and mixed according to each
178 formulation before mincing. Mixed meat samples were minced twice (Mainca meat
179 mincer, Cheshire, England) through a mesh plate (5 mm diameter holes). In between
180 the two mincing occasions and when required by the formulation, sifted pinhead rusk
181 (Redbrook, Damastown, Dublin) and iced tap water were blended into the minced
182 meat by hand for 2-3 mins. After the second mincing step, the mixture was pressed
183 into a standard beefburger mould. Between each formulation, the meat mincer was
184 washed with warm water and detergent, rinsed with warm water and then wiped dry
185 with tissue paper. Once produced, samples were placed in a tray sealed with cling film

186 and stored overnight at 4 °C. Ten burgers were made for each formulation; four out of
187 the ten were randomly-selected for analysis as fresh samples on the following day;
188 two out of the ten were stored at -20°C for 14 days prior to thawing and analysis.

189

190 In total, 82 fresh beefburger samples (36 authentic + 46 adulterated) and 82 frozen-
191 then-thawed beefburger samples (36 authentic + 46 adulterated) were prepared. One
192 of the formulations dictated by the experimental design software (run #5) contained
193 only beef and beef fat and therefore properly belonged to the authentic class of
194 product. To avoid confusion, both of these samples were eliminated, one each from
195 fresh and frozen-then-thawed adulterated sample sets.

196

197 *2.2. Spectroscopic measurements*

198

199 Before spectroscopic analysis, frozen beefburgers were removed from -20°C storage
200 and allowed to thaw at room temperature for ~16 hours. Fresh beefburgers were
201 removed from chill storage at 4°C. Each fresh or frozen-then-thawed sample was
202 homogenised using a Robot Coupe R301 ultra (Vincennes, France) for 30s and
203 transferred into a sterile container. The Robot Coupe mincing bowl was washed with
204 detergent, rinsed with tap water and wiped dry after each sample.

205

206 For spectroscopic analysis, homogenised samples were placed onto the in-
207 compartment benchmark horizontal attenuated total reflectance (ATR) ZnSe crystal
208 (11 internal reflections; Specac Ltd., Kent, UK) of a Bio-Rad Excalibur FTS3100
209 mid-infrared spectrometer (Bio-Rad, Philadelphia, USA). Samples were applied so as
210 to cover the ATR crystal surface entirely and were pressed to the crystal surface using

211 a spatula to ensure efficient contact between sample and crystal along length of the
212 latter. ATR spectra [$\log(1/R)$] were recorded over the wavelength range 800-4000 cm^{-1}
213 ¹ at a nominal resolution of 4 cm^{-1} ; 64 sample scans were averaged and corrected
214 using an air blank reference scan which was recorded before each sample. Samples
215 were scanned in random order at ambient temperature ($\sim 20^\circ\text{C}$). Spectral acquisition
216 and file conversion were performed using manufacturer's software (Resolutions Pro v
217 4.0) Each sample was scanned in duplicate (with sub-sampling) and the mean of these
218 duplicates was used in later chemometric operations.

219

220 *2.3. Data analysis*

221 Spectra were exported from Resolutions Pro software in GRAMS format and
222 imported directly into The Unscrambler (v 9.7; CAMO A/S, Trondheim, Norway) for
223 all multivariate operations. Spectra in the attenuated fingerprint range (900-1800 cm^{-1} ;
224 467 variables) were used in all chemometric operations. Preliminary data analysis was
225 performed by principal component analysis (PCA) of mean-centred, unmodified
226 spectral data. Models were constructed using raw spectral data and after pre-
227 processing by multiplicative scatter correction (MSC), standard normal variate (SNV)
228 transformation and Savitzky-Golay derivatisation (1st derivative with 9 points, 2nd
229 derivative with 11 points). These pre-treatments were able to remove baseline shifts,
230 slope changes, scatter and other effects from spectral data; moreover, Savitzky-Golay
231 derivatives reveal greater structure in the spectral data which should make it easier to
232 interpret the chemical basis of the observed signals. Calibration models were
233 developed and evaluated on separate calibration and validation sample sets. Each set
234 represented approximately 50% of the total sample numbers which were selected on
235 the basis of their position in the spectral file. Samples 1, 3, 5 etc until the end were

236 selected as calibration samples while the remainder were used for validation.
237 Calibration models were developed using full, i.e. leave-one-out, cross-validation and
238 best models were selected based on a number of criteria including the position of the
239 first local minimum in the leverage and residual X-variance plots, the correct
240 classification rate, the number of loadings or components involved and whether data
241 pre-treatment was required. Models were developed separately for fresh and frozen-
242 then-thawed samples and both in combination.

243

244 For PLS1-DA models, a dummy Y-value was given to each sample – a value of 0 was
245 given to all adulterated samples and a value of 1 to all the authentic samples. After
246 prediction on the validation sample set, a sample with a predicted Y-value ≥ 0.5 was
247 identified as authentic while a Y-value < 0.5 was identified as adulterated. In
248 subsequent steps, models involving a reduced number of spectral variables were
249 developed following application of the Martens Uncertainty Test (Martens and
250 Martens, 2000), as reduction in variable numbers may lead to increased model
251 stability and also enable transfer of models to cheaper instrumentation. Performance
252 of these models was then compared to those using the full fingerprint spectral region.

253

254 SIMCA models of authentic beefburgers were developed using 50% of the authentic
255 samples; model performance was estimated using all remaining samples. A 5%
256 significance value was utilised for membership determination. A limitation of SIMCA
257 involves the fact that the principal components are calculated on the basis of
258 spectroscopic measurements and these need not be clearly related to chemical data of
259 relevance in any particular class-model. In contrast, PLS regression involves Y-data in
260 the calculation of PLS factors and scores. In an attempt to improve SIMCA-modelling,

261 therefore, PLS scores were input to the modelling process in a separate exercise.
262 Model performances were evaluated on the basis of sensitivity, specificity and
263 efficiency. Sensitivity is defined as the fraction of samples belonging to the modelled
264 class which is correctly accepted by the respective model; specificity is that fraction
265 of samples not belonging to the modelled class that is correctly rejected by the model
266 (Oliveri and Downey, 2012). Efficiency summarises the sensitivity and specificity of
267 model performance by calculating the geometric mean of their values (Oliveri and
268 Downey, 2012). Index values of efficiency vary between 0 and 1. In our case,
269 sensitivity was described as the fraction of authentic samples correctly identified by
270 the model of authentic beefburgers. Specificity was the fraction of adulterated
271 samples correctly rejected by the same model. Efficiency was calculated as:

$$272 \quad \text{Efficiency} = (\text{Sensitivity} * \text{Specificity})^{0.5}$$

273 Conventional PLS regression was applied to try to quantify content of total offal and
274 individual offal types in adulterated beefburgers but no model of acceptable accuracy
275 was obtained. This is most likely due to the absence of any specific, detectable FT-IR
276 signal specifically associated with any or all of the offal types tested and at the levels
277 encountered in this study. Given that the offal was obtained from the same animal
278 species, this may be expected but it also raises the possibility that offal from different
279 species may be detected.

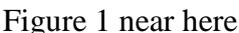
280

281 3. Results and discussion

282

283 3.1 Spectral data

284 A plot of the untransformed spectral data of all samples in the 900-1800 wavenumber
285 range is shown in Figure 1a. Plots of mean spectra for authentic and adulterated

286 material are shown in Figures 1b and 1c respectively. These spectra show typical
287 noise levels for this type of sample and sample presentation but ,on either side of
288  Figure 1 near here
289 the 900-1800 fingerprint wavenumber segment , spectral noise was judged by visual
290 examination to be excessive and, unless otherwise stated, chemometric operations
291 were performed using this attenuated range only. In general, the beefburger spectra
292 appear very similar with an obvious baseline offset between individual samples; the
293 main features are a large absorbance peak centred around 1640 cm^{-1} , ascribed to O-H
294 bending vibration of water (Al-Jowder, Defernez, Kemsley and Wilson, 1999) and
295 amide I (C=O) stretching vibrations (Meza-Márquez, Gallardo-Velázquez and Osorio-
296 Revilla, 2010) together with and the, with smaller but significant peaks around 1543
297 and 1556 cm^{-1} probably arising from amide II absorptions (Al-Jowder, Defernez,
298 Kemsley and Wilson, 1999). Apparent spectral detail around the 1640 wavenumber
299 peak is more likely to may reflect differences in water structure rather than secondary
300 structure of the different muscle proteins because of the relative absorbance of these
301 two moieties. Spectral differences may be related to different degrees of hydration of
302 e.g protein. Smaller, broader peaks are evident centred around 1026 and 1082 cm^{-1}
303 with a double peak feature at 1157 and 1176 wavenumbers. Absorptions in the 950 -
304 1200 cm^{-1} region have been reported to reflect the content of carbohydrate moieties,
305 specifically muscle glycogen (Al-Jowder, Defernez, Kemsley and Wilson, 1999)
306 while the weakly absorbing amide III band is found around 1300 cm^{-1} (Bandekar,
307 1992) , absorptions of amino acid side-chains occur between $1480 - 1800$
308 wavenumbers (Goormaghtigh, Cabiaux and Ruyschaert, 1994) and peptide bonds
309 absorb between 1500 - 1700 cm^{-1} . A peak around 1744 cm^{-1} is generally taken as
310 arising from C=O absorptions in lipids (Al-Jowder, Defernez, Kemsley and Wilson,

1999) and this did exhibit considerable from sample to sample depending on formulation. Relatively strong absorptions arising from acyl chain C-H linkages were also found between 2800 and 3000 cm^{-1} (not shown) while absorption from triacylglycerol ester linkage C-O has been reported around 1175 cm^{-1} (Dufour, 2009). Some small sharp details are also evident at 1734, 1748, 1773 and 1792 cm^{-1} while two peaks centred around 1458 and 1472 cm^{-1} were also a feature of all spectra. In summary, interpretation of spectra is complex but absorptions found in this work closely resemble those previously published (Meza-Márquez, Gallardo-Velázquez and Osorio-Revilla, 2010; Al-Jowder, Defernez, Kemsley and Wilson, 1999; 2002) and fit in with expectations based on muscle food composition. There is no obvious, visible segregation into fresh and frozen-then-thawed samples or authentic or adulterated material detectable in these spectra.

Principal component analysis (PCA) was used to determine the major sources of variance in the total dataset and to detect any unusual or outlying samples. PCs 1 to 3 accounted for 72.9, 11.3 and 8.4% respectively of the variability in the complete (i.e. fresh and frozen-then-thawed authentic and adulterated) spectral data set. The first 6 PCs accounted for 98.8% of total variability. No clear separation between fresh and frozen-then-thawed samples was discernible in any of the scores plots (not shown) although PC1 did suggest some limited separation. This indicates that the processing history of these samples did not impact significantly on their FT-IR spectra. When comparing authentic vs adulterated samples, the only discernible pattern was a more diffuse clustering of the adulterated beefburger scores than those of authentic material. When fresh samples only were studied, a limited separation of the majority of authentic and adulterated samples was evident in the scores plot of PC 1 and 6 (Figure 2a).

336 Figure 2 near here

337 Interpretation of the PC6 loading plots (Figure 2b) is not straightforward but the major
338 features are peaks centred at 1540 and 1650 cm^{-1} with smaller, broader maxima
339 around 960 and 1136-1176 wavenumbers. A broad interpretation of this loading
340 pattern would be that protein (content and/or structure) and lipid components account
341 for the separation obtained between authentic and adulterated beefburger samples. In
342 the case of frozen-then-thawed samples only, tentative separations were visible in
343 certain plots (e.g. PC 3 versus PC2; Figure 2c) but these were generally not as
344 definitive as was the case for fresh samples. The loading plot for PC3 (Figure 2d)
345 revealed a profile with major maxima around 1175, 1510 and 1749 wavenumbers;
346 there were also minima centred at 1026 and 1640 cm^{-1} . Features at 1749 and 1175
347 wavenumbers are indicative of lipid involvement, which may be expected given the
348 formulation of adulterated beefburgers and the lipid content of certain offal types e.g.
349 liver. Interestingly, these features are in opposition to protein-related absorbances i.e.
350 around 1640 cm^{-1} .

351

352 **3.2 PLS modelling**

353 Models were developed separately and together for fresh and frozen-then-thawed
354 samples. Accuracy of each PLS discriminant model was assessed on the basis of the
355 percentage correct classification of validation sample sets. Performance of combined
356 models (fresh plus frozen-then-thawed samples) was generally much poorer than their
357 separate counterparts and will not be discussed further. From a practical point of view
358 this is disappointing since it would be preferable to have a single model for
359 authentication of beefburgers irrespective of their thermal history. It does, however,

360 indicate that in future expanded studies of this problem, separate calibration models
361 are required for fresh and frozen-then-thawed material.

362 A summary of the PLS models obtained for fresh samples is shown in Table 2. As is

363 Table 2 near here

364 clear from this table, each of the models produced 100% accurate classification results

365 in both calibration and validation. Selection of a single model to use is difficult but, as

366 a general rule, models with fewer loadings are preferred because they are likely to be

367 more robust i.e. stable. There are no hard and fast rules governing the maximum

368 number of loadings to use because each model is specific to its own dataset. Models

369 containing up to 13 loadings as is reported here are not unusual in discriminant

370 applications and simply reflect the complexity of the analytical task being addressed.

371 Most models reported here contain between 3 and 9 loadings, numbers which give no

372 cause for concern. Regarding pre-treatments, one which is internally generated i.e.

373 does not require the use of any specific individual or mean spectrum, is preferred. The

374 model based on SNV-treatment of the spectral data therefore represents a good choice.

375 PLS scores for this model are shown in Figure 3a. While detailed interrogation of

376 regression vectors is complex and

377 Table 3 near here

378 needs care, examination of the vector associated with this model does reveal structural

379 detail (Figure 3b). A single major maximum is evident at 1653 cm^{-1} (possible amide I

380 or water absorptions) while significant negative features are present at 1055, 1080 and

381 1560 (perhaps amide II absorption) wavenumbers. Minima at 1055 and 1080 cm^{-1}

382 may be related to carbohydrate (eg glycogen; Al-Jowder, Defernez, Kemsley and

383 Wilson, 1999) which would explain the position of the adulterated samples in the

384 scores plot. A similar summary of results obtained for frozen-then-thawed samples is

385 also shown in Table 2. In this case, model performances varied considerably but the
386 most accurate was that produced using MSC pre-treated data even though it required
387 13 loadings, much more than any other model. Derivative spectra produced models
388 which were almost as accurate but SNV pre-treatment resulted in poor results, worse
389 even than raw spectra. High classification accuracies were obtained for all of the
390 combined (fresh plus frozen-then-thawed) models developed (Table 2) although no
391 model produced completely correct classifications in both calibration and validation
392 sample sets. Best overall results for the combined sample collections were obtained by
393 MSC pre-treated data, once again involving 13 PLS loadings. Only two mis-
394 classifications occurred in the calibration sample set while all of the validation
395 samples were correctly identified. While a joint modelling approach may have some
396 merit, higher correct classification accuracies were obtained using separate
397 calibrations for fresh and for frozen-then-thawed samples. This suggests that when the
398 temperature history of a beefburger is known, use of the relevant model is
399 recommended. When this history is uncertain, the deployment of the model based on
400 the combined sample set will allow accurate classification.

401 If models of acceptable accuracy can be obtained using fewer variables than are
402 involved in those described above, then the possibility of their deployment in simpler
403 instrumentation becomes a possibility. To explore this, PLS regression models were
404 developed after deployment of the Martens Uncertainty Test; summary results of the
405 models' performances are shown in Table 3. As a general observation, it may be
406 stated that these reduced-variable models maintained the accuracy levels of the
407 fingerprint models with the possible exception of frozen-then-thawed validation
408 adulterated samples. The number of loadings required in the attenuated models was
409 generally less than was the case for their greater wavenumber range counterparts; in

410 some cases, a very significant reduction in the number of variables involved was also
411 apparent. Examination of the regression vectors for the most accurate fresh, frozen-
412 then-thawed and combined models is complex but line plots of both these and the full
413 fingerprint models are shown in Figure 1. The complexity is further enhanced by the
414 fact that spectral pre-treatments are applied for the frozen-then-thawed and combined
415 models. In both these cases, retained variables are spread more or less evenly across
416 the spectral range. For fresh samples, the negative peaks around 1200 cm^{-1} may reflect
417 absorbances located at 1157 and 1176 cm^{-1} in the original spectra which may be
418 attributed to glycogen; a group of small negative peaks around 1700 cm^{-1} may arise
419 from lipid absorptions. Further interpretation is difficult.

420

421 **3.3 SIMCA modelling**

422 As above, separate models for fresh and frozen-then-thawed samples were developed;
423 model performances are summarised in Table 4. For both fresh and frozen-then-

424 Table 4 near here

425 thawed samples, sensitivities were high, ranging from 0.94 to 1.0, but specificity
426 values varied considerably over the range 0.33 – 0.80 (fresh samples) and 0.41 – 0.87
427 (frozen-then-thawed samples). Efficiency values varied from 0.57 – 0.87 for fresh and
428 0.62 to 0.91 for frozen-then-thawed beefburgers. These results indicate that the
429 SIMCA method was able to accurately and efficiently model authentic beefburgers
430 (sensitivity values of up to 1) but that some adulterated material overlapped with this
431 model (specificity values less than 1) leading to false positive identifications. Overall,
432 the most efficient models were produced using either raw spectral data (fresh
433 samples) or after 2nd derivative pre-treatment (frozen-then-thawed beefburgers). In
434 general, only low numbers of principal components (≤ 5) were required for SIMCA

435 models which suggests that they are likely to be robust. When fresh and frozen-then-
436 thawed samples were modelled together (Table 4), sensitivity remained perfect at 1.0
437 but specificity produced a range of values from 0.29 to 0.91 although most were
438 around 0.65. Efficiency values varied from 0.54 to 0.95 with most equal to 0.81. The
439 best overall model was produced by this composite sample collection; this involved
440 MSC pre-treatment of spectral data and required 5 principal components.

441 On the basis of these results, SIMCA models may have a useful role to play in
442 industrial quality control by e.g. confirming the authenticity of beefburgers produced
443 according to the recipe used in this work. Such models could be deployed in a
444 production facility where they would indicate any significant change in beefburger
445 formulation arising e.g. from the use of different or contaminated ingredients.

446 Deployment in a regulatory test environment may not yet be recommended given the
447 failure to achieve specificity values of 1. However, the value of 0.91 in the overall
448 best model suggest that alternative data treatments or variable selection steps may
449 achieve perfect sensitivity and specificity values, paving the way for use by control
450 agencies.

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452

453 4. Conclusions

454 Offal-adulteration of beefburger products has been investigated using FTIR ATR
455 spectroscopy and chemometric data analysis. Both discriminant (PLS-DA) and class-
456 modelling (SIMCA) methods have been investigated. Models have been developed
457 separately for fresh and frozen-then-thawed; models for both sample types together
458 have also been generated. With regard to the classification approach, highest
459 accuracies were achieved using individual (i.e. fresh or frozen-then-thawed) models

460 while a SIMCA model involving both sample types proved optimum. On this basis,
461 class-modelling results allow highly accurate identification of beefburger samples of
462 the types studied whether their thermal history is known or not. A limitation of this
463 approach however lies in the fact that we have only demonstrated a capability which
464 is exclusive for these formulations. In the case of analysis of a beefburger made to a
465 different formulation, there is no guarantee that this model will work. Regarding
466 SIMCA, a model developed using the combined fresh and frozen-then-thawed sample
467 set provides 100% accuracy in identification of authentic samples. This enables its use
468 in a quality control setting. Its advantage lies in the fact that the model is only
469 concerned with authentic beefburger formulations. Therefore, beefburgers which have
470 been adulterated by means other than beef offal admixture should still be identified as
471 non-authentic. The results reported in this manuscript represent a feasibility study
472 only but demonstrate the potential of this method for regulatory or quality control
473 purposes; a larger study is merited to confirm the findings reported.

474

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Table 1. D-optimal experimental design of adulterated beefburger formulations.

Run	% Meat	% Fat	% Water	%Rusk	%Heart	%Liver	%Kidney	%Lung
1	0	25	10	5	20	20	20	0
2	32.5	12.5	15	0	20	0	0	20
3	30	0	15	5	0	20	20	10
4	75	0	0	5	0	0	20	0
5	75	25	0	0	0	0	0	0
6	20	25	0	5	0	10	20	20
7	47.5	12.5	0	0	0	0	20	20
8	60	0	0	0	0	20	0	20
9	42.5	25	7.5	5	0	0	0	20
10	20	25	15	0	0	20	0	20
11	0	5	15	0	20	20	20	20
12	22.5	12.5	0	5	0	20	20	20
13	55	0	15	0	10	0	20	0
14	65	0	0	5	0	0	10	20
15	17.5	25	15	2.5	20	0	20	0
16	60	0	0	0	20	20	0	0
17	30	25	15	0	20	10	0	0
18	60	0	0	0	20	0	0	20
19	10	10	15	5	20	0	20	20
20	45.5	10.4	6.4	0	9.4	9.4	9.4	9.5
21	45.5	10.4	6.4	0	9.4	9.4	9.4	9.5
22	52.5	25	0	2.5	0	20	0	0
23	0	25	15	0	0	20	20	20
24	25	0	0	5	20	20	20	10
25	37.5	0	0	2.5	20	0	20	20
26	30	0	15	5	20	20	10	0
27	60	0	0	0	0	20	20	0
28	55	0	15	0	10	0	20	0
29	50	0	15	5	20	0	0	10
30	75	0	2.5	2.5	20	0	0	0
31	30	0	15	5	0	20	20	10
32	60	0	0	0	20	0	20	0
33	40	25	0	5	20	0	10	0
34	20	25	15	0	0	20	20	0
35	30	0	15	5	10	20	0	20
36	30	0	15	5	10	20	0	20
37	0	25	15	5	20	20	0	15
38	45	25	15	5	0	10	0	0
39	37.5	25	15	2.5	0	0	20	0
40	65	0	15	0	0	20	0	0
41	0	25	15	0	20	0	20	20
42	65	0	15	0	0	0	0	20
43	20	25	0	5	20	10	0	20
44	40	25	0	5	20	0	10	0
45	0	20	0	0	20	20	20	20
46	52.5	0	7.5	0	0	0	20	20

MIR ATR detection of offal in beefburgers

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Table 2. Summary of PLS classification model results (fingerprint wavenumber range) for beefburger samples

Sample Type	Data pre-treatment	Loadings ^a	Calibration Samples		Validation Samples	
			# Correctly Classified ^b		# Correctly Classified	
			Authentic	Adulterated	Authentic	Adulterated
Fresh	none	10	18/18	22/22	18/18	23/23
	SNV	6	18/18	22/22	18/18	23/23
	MSC	5	18/18	22/22	18/18	23/23
	1 DER 7	6	18/18	22/22	18/18	23/23
	2 DER 11	6	18/18	22/22	18/18	23/23
Frozen-then-thawed	None	3	15/18	20/23	18/18	20/22
	SNV	5	16/18	15/21 ^a	17/18	16/22
	MSC	13	18/18	23/23	18/18	22/22
	1 DER 7	9	17/18	22/23	18/18	22/22
	2 DER 11	8	18/18	22/23	18/18	22/22
Fresh plus frozen-then-thawed	None	8	36/36	41/45	35/36	43/45
	SNV	10	35/36	44/45	35/36	44/45
	MSC	13	34/36	45/45	36/36	45/45
	1 DER 7	6	34/36	44/45	34/36	44/45
	2 DER 11	6	35/36	44/45	36/36	44/45

578 ^a number of PLS loadings in each model; ^b no. of correctly classified samples/total number of samples in relevant set

579 **Table 3.** Summary of PLS classification model results (reduced number of variables^a) for beefburger samples

Sample Type	Data pre-treatment	Loadings ^b	Variables retained	Calibration Samples		Validation Samples	
				# Correctly Classified		# Correctly Classified	
				Authentic	Adulterated	Authentic	Adulterated
Fresh	none	7	29	18/18	22/22	18/18	23/23
	SNV	3	22	18/18	20/21 ^d	16/18	22/23
	MSC	3	30	18/18	21/22	18/18	23/23
	1 DER 7	5	61	18/18	22/22	18/18	23/23
	2 DER 11	4	70	18/18	22/22	18/18	23/23
Frozen-then-thawed	None	9	29	17/18	22/23	17/18	19/22
	SNV	4	25	18/18	16/22 ^a	18/18	17/22
	MSC	8	27	18/18	22/23	16/18	20/22
	1 DER 7	6	66	18/18	23/23	18/18	20/22
	2 DER 11	6	119	18/18	22/23	18/18	20/22
Fresh plus frozen-then-thawed	None	7	49	34/36	42/45	36/36	41/45
	SNV	8	153	35/36	43/45	35/36	43/45
	MSC	10	47	35/36	44/45	35/36	44/45
	1 DER 7	6	171	34/36	45/45	36/36	43/45
	2 DER 11	6	219	35/36	44/45	36/36	44/45

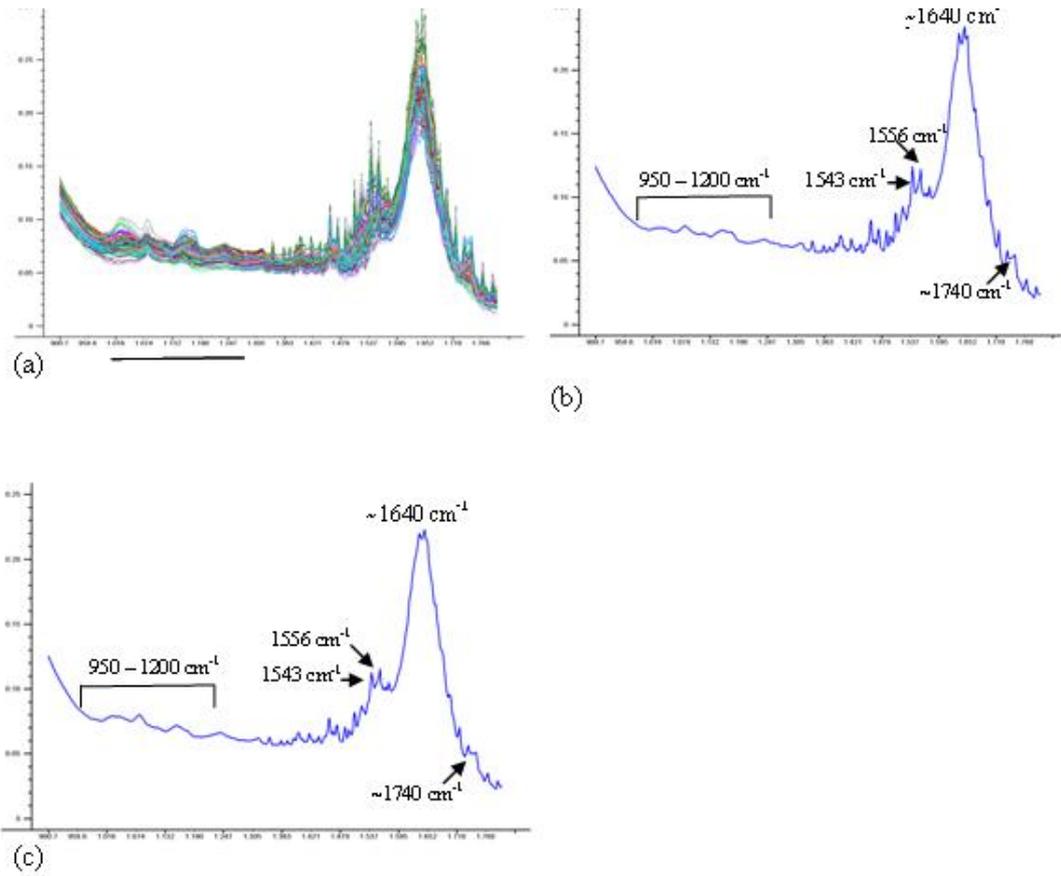
580 ^a after Martens Uncertainty Test; ^b number of PLS loadings in each model; ^c no. of correctly classified samples/total number of samples in relevant
581 set; ^d 1 sample removed
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586 **Table 4.** Summary of SIMCA class-modelling results for beefburger samples

Sample Type	Data pre-treatment	PCs ^a	Validation Samples		
			Sensitivity	Specificity	Efficiency
Fresh	none	4	0.94	0.80	0.87
	SNV	3	1.0	0.65	0.81
	MSC	3	1.0	0.70	0.84
	1 DER 7	3	1.0	0.33	0.57
	2 DER 11	3	1.0	0.46	0.68
Frozen-then-thawed	none	4	1.0	0.83	0.91
	SNV	2	0.94	0.41	0.62
	MSC	5	0.94	0.87	0.90
	1 DER 7	4	1.0	0.78	0.88
	2 DER 11	4	1.0	0.83	0.91
Fresh plus frozen-then-thawed	None	4	1.0	0.65	0.81
	SNV	5	1.0	0.66	0.81
	MSC	5	1.0	0.91	0.95
	1 DER 7	3	1.0	0.29	0.54
	2 DER 11	5	1.0	0.66	0.81

587 ^anumber of PCs used in each model

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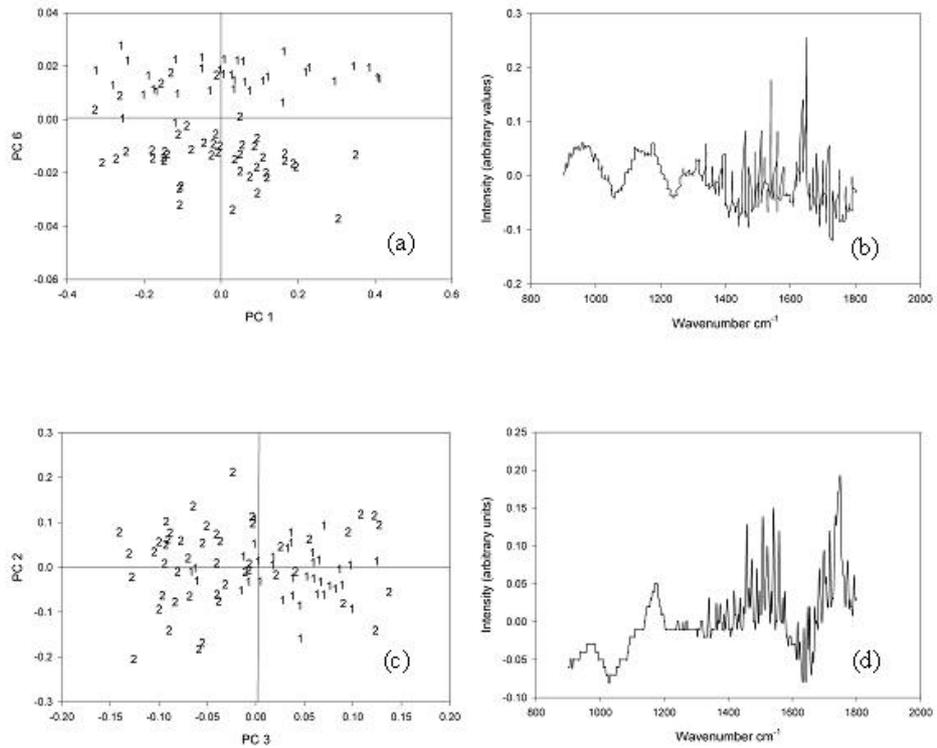
Figure 1. Plots of (a) all untransformed beefburger spectra and mean spectra of untransformed (b) authentic and (c) adulterated beefburgers.

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Figure 2. (a) PC scores plot (PCs 1 & 6) of fresh beefburger samples; (b) loading of PC6 for fresh beefburger samples; (c) PC scores plot (PCs 2 & 3) for frozen-then-thawed beefburger samples; (d) loading plot of PC 3 for frozen-then-thawed beefburgers.